



CITY OF LODI

COUNCIL COMMUNICATION

AGENDA TITLE: Adopt 2002-03 Operating and Capital Improvement Budgets and the 2002-03 Appropriation Spending Limit.

MEETING DATE: June 19, 2002

SUBMITTED BY: City Manager

RECOMMENDED ACTION: Adopt by Resolution the 2002-03 Operating and Capital Improvement Budgets and the 2002-03 Appropriation Spending Limit.

BACKGROUND INFORMATION: This is the second year of a two-year Financial Plan and Budget. Per Council policy the focus during this second year has been on minor adjustments that changed the projections outlined in the two-year budget adopted by Council in June 2001.

City Staff has reviewed with Council, during numerous public meetings, the various components that make up the budget. The presentation of the budget calendar introduced the process at the February 5, 2002, Shirtsleeve Session. Additional meetings were held on March 26, April 2, April 23, May 7 and 28 and June 11, 2002.

Components reviewed during these public meetings included the status of major City projects, numerous discussions on the General Fund long-term plan, the review of goals, objectives and departmental accomplishments, and the presentation of the City Manager recommended adjustments to the operating and capital improvement budget.

The attached booklet contains the Fund Balance projections, the Revenues by Major Category, the Summary of Operating Budgets by Activities, the Interfund Transfers, the Summary of Significant Expenditures and Staffing requests, Capital Budget Detail by Mission, the listing of Authorized Positions by Department, and the Appropriation Spending Limit.

A copy of this booklet will be available at the Library and the City's web site.

City Staff will be present at the Council meeting to answer any questions.

Funding: Not Applicable

Respectfully,

H. Dixon Flynn
City Manager

Attachment

APPROVED: _____


H. Dixon Flynn -- City Manager

2002-03

FINANCIAL PLAN AND BUDGET

2nd Year of Two Year Budget

Graphs

Changes in Fund Balance

Revenues by Major Category & Source

Operating Budget by Activity

Interfund Transfers

Summary of Significant Expenditures

Capital Budget Detail by Mission

Regular Positions by Department

Appropriation Spending Limit

CITY OF LODI
CALIFORNIA

06/19/02

TABLE OF CONTENTS

Contents

City Manager Letter of Transmittal

Graphs

Sources Come From	1
Expenditures Budgeted	1

Changes in Fund Balance

All Funds Combined	3
General Fund	4
Library Fund	5
Electric Fund	6
Wastewater Fund	7
Water Fund	8
Capital Outlay Fund	9
Equipment Fund	10
Transportation Fund	11
Transit Fund	12
Street Fund	13
CDBG Fund	14
Police Special Revenue Fund	15
Debt Service Fund	16
Self Insurance Fund	17
Benefits Fund	18
Parks Special Grants	19
Trust & Agency Fund	20

Revenues by Major Category & Source

Tax Revenue	21
Licenses & Permits	21
Fines & Forfeitures	21
Investment & Property Revenues	21
Revenue from Others	22
Service Fees & Charges	
Public Safety Fees	22
Electric Revenue	22
Sewer Revenue	23
Water Revenue	23
Public Works Street	23
Transit Fees	23
Park & Recreation	23
Community Center	24
Community Development	24
PW Engineering	24
Administrative Fees	24
Benefit & Insurance Fees	24
Other Revenue	25

Operating Budget by Activity

Public Safety	27
Public Utilities	27
Transportation	27
Leisure, Cultural & Social Services	27
Community & Economic Development	28
General Government	28

Contents

Interfund Transfers

General Fund	29
Library Fund	29
Street Fund	29
Transportation Fund	29
CDBG Fund	30
Police Special Revenue	29
Capital Outlay Fund	30
Equipment Fund	30
Debt Service Fund	30
Water Fund	30
Wastewater Fund	31
Electric Fund	31
Transit Fund	31
Child Care Service	31
Benefits Fund	32
Self Insurance Fund	32
Trust and Agency Fund	32

Summary of Significant Expenditure and Staffing Changes

Public Safety	33
Public Utilities	33
Transportation	34
Leisure, Cultural & Social Services	34
Community & Economic Development	35
General Government	35
Funding Source Summary	36

Capital Budget Detail by Mission

Public Safety	37
Public Utilities	37
Transportation	38
Leisure, Cultural & Social Services	38
Community & Economic Development	39
General Government	39

Regular Positions by Department

City Manager	41
City Attorney	41
City Clerk	41
Community Center	41
Community Development	41
Economic Development	42
Electric Utility Development	42
Finance Department	42
Fire Department	43
Human Resources	43
Library	43
Parks & Recreation	43
Police Department	44
Public Works	44

Appropriation Spending Limit	47
------------------------------	----

CITY MANAGER'S LETTER OF TRANSMITTAL

GRAPHS

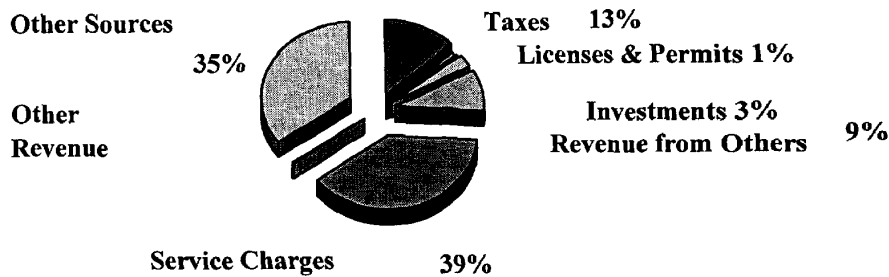
Sources Come From
Expenditures Budgeted

YOUR CITY'S BUDGET FOR SERVICES IN 2002-03

MILLIONS

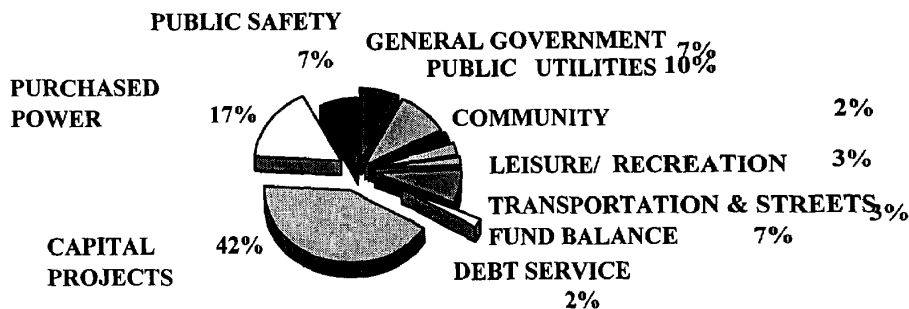
SOURCES CAME FROM:

TAXES	\$	24.291
LICENSES & PERMITS		1.656
FINES & FORFEITURES		0.761
INVESTMENTS		5.165
REVENUES FROM OTHERS		15.881
SERVICE CHARGES		71.007
OTHER REVENUE		0.854
OTHER SOURCES		64.078
TOTALS	\$	<u>183.693</u>



EXPENDITURES BUDGETED

PUBLIC SAFETY	\$	13.492
PUBLIC UTILITIES		18.154
TRANSPORTATION/STREETS		4.662
LEISURE/RECREATION		5.263
COMMUNITY DEVELOPMENT		3.333
GENERAL GOVERNMENT		13.651
DEBT SERVICE		3.899
CAPITAL PROJECTS		77.332
PURCHASED POWER		30.551
FUND BALANCE		13.356
TOTALS	\$	<u>183.693</u>



CHANGES IN FUND BALANCE

All Funds Combined

General Fund

Library Fund

Electric Utility Fund

Wastewater Fund

Water Utility Fund

Capital Outlay Fund

Equipment Fund

Transporation Fund

Transit Fund

Street Fund

CDBG Fund

Police Special Revenue Fund

Debt Service Fund

Self Insurance Fund

Benefits Fund

Parks Special Grants

Trust & Agency Fund

2001-03 FINANCIAL PLAN AND SUMMARY
CHANGES IN FUND BALANCE - ALL FUND COMBINED

	1999-2000	2000-01	2001-02	2002-03
	Actual	Actual	Revised Budget	Budget
Revenues				
Tax Revenues	20,003,026	22,130,147	23,093,140	24,290,773
Licenses and Permits	1,405,934	1,592,226	1,595,584	1,655,554
Fines and Forfeitures	628,276	673,281	717,082	760,832
Investment/Property Revenues	3,796,161	6,411,708	5,011,646	5,164,982
Revenue from Others	11,218,064	14,586,659	15,715,343	15,880,978
Service Charges	54,105,389	56,165,812	63,421,251	71,007,368
Other Revenue	2,089,651	1,667,435	839,040	853,875
Total Revenue	93,246,502	103,227,268	110,393,086	119,614,362
Expenditures				
Operating Programs				
Public Safety	10,703,171	12,387,000	12,918,125	13,492,625
Public Utilities	17,126,609	21,614,127	18,070,315	18,154,152
Transportation	3,382,590	3,448,521	4,343,843	4,661,961
Leisure, Cultural and Social Services	4,424,339	4,779,599	5,082,495	5,262,965
Community and Economic Development	2,475,413	2,903,546	3,261,635	3,332,715
General Government	10,439,807	11,802,126	12,709,195	13,650,930
Total Operating Programs	48,551,930	56,934,919	56,385,608	58,555,348
Bulk Power Purchase	27,722,088	37,997,566	30,551,000	30,551,000
Other Purchases		48,689	685,310	526,000
Capital Projects	11,325,762	24,610,490	32,468,345	68,135,851
Debt Service	3,864,165	3,896,407	3,902,338	3,899,093
Total Expenditures	91,463,945	123,488,071	123,992,601	161,667,292
Revenues/Expenditures (Over/Under)	1,782,558	(20,260,803)	(13,599,515)	(42,052,930)
Other Sources (Uses)				
Operating Transfers In	12,817,773	12,921,278	12,365,248	12,316,204
Operating Transfers Out	(12,817,773)	(12,921,278)	(12,365,248)	(12,316,204)
Other Sources (Uses)	5,824,315	(5,659,583)	5,013,713	49,660,750
Proceeds from Debt Financing	2,500,000	9,227,375	21,051,073	9,470,450
Cost of Medical Care/Dental, Vision, Chiro	(1,932,058)	(2,062,455)	(2,776,295)	(3,123,332)
Total Other Sources (Uses)	6,392,257	1,505,337	23,288,491	56,007,868
Fund Balance Beginning of the Year	32,219,464	40,394,279	22,661,534	32,350,509
Adjustment to Fund Balance		1,022,721		
Fund Balance End of the Year				
Reserved for Debt Service				
Designated Reserve	31,498,135	16,265,715	25,408,799	39,489,983
Unreserved	8,896,143	6,395,818	6,941,710	6,815,464
Total Fund Balance	40,394,279	22,661,534	32,350,509	46,305,447

2001-03 FINANCIAL PLAN AND SUMMARY
CHANGES IN FUND BALANCE - GENERAL FUND

	1999-2000	2000-01	2001-02	2002-03
	Actual	Actual	Budget	Budget
Revenues				
Tax Revenues	16,587,340	18,314,878	19,223,277	20,174,594
Licenses and Permits	1,405,934	1,592,226	1,595,584	1,655,554
Fines and Forfeitures	628,276	673,281	717,082	760,832
Investment/Property Revenues	369,537	534,755	533,568	573,844
Revenue from Others	3,600,032	3,806,630	3,767,787	4,689,948
Service Charges	1,521,571	1,733,878	1,812,269	1,961,035
Other Revenue	215,808	200,008	170,540	184,875
Total Revenue	24,328,498	26,855,656	27,820,107	30,000,682
Expenditures				
Operating Programs				
Public Safety	10,626,409	12,000,660	12,918,125	13,492,625
Transportation	1,678,050	1,883,856	1,992,664	2,282,210
Leisure, Cultural and Social Services	3,396,116	3,664,327	3,902,660	4,053,225
Community and Economic Development	2,475,413	2,903,546	3,261,635	3,332,715
General Government	6,433,291	7,559,430	8,034,515	8,447,060
Total Operating Programs	24,609,279	28,011,819	30,109,599	31,607,835
Bulk Power Purchase				
Other Purchases				
Capital Projects				
Debt Service				
Total Expenditures	24,609,279	28,011,819	30,109,599	31,607,835
Revenues/Expenditures (Over/Under)	(280,781)	(1,156,163)	(2,289,492)	(1,607,153)
Other Sources (Uses)				
Operating Transfers In	3,995,011	4,188,561	5,058,126	5,027,627
Operating Transfers Out	(1,123,745)	(2,279,905)	(2,809,995)	(2,809,997)
Other Sources (Uses)	(199,406)	(85,708)	3,145,000	1,937,500
Cost of Medical Care/Dental, Vision	(1,483,004)	(1,669,565)	(2,262,690)	(2,545,526)
Total Other Sources (Uses)	1,188,856	153,383	3,130,441	1,609,604
Fund Balance Beginning of the Year	2,701,212	3,609,287	3,629,228	4,470,178
Adjustment to Fund Balance		1,022,721		
Fund Balance End of the Year				
Reserved for Debt Service				
Designated Reserve				
Unreserved	3,609,287	3,629,228	4,470,178	4,472,629
Total Fund Balance	3,609,287	3,629,228	4,470,178	4,472,629

2001-03 FINANCIAL PLAN AND SUMMARY

CHANGES IN FUND BALANCE - LIBRARY FUND

	1999-2000	2000-01	2001-02	2002-03
	Actual	Actual	Budget	Budget
Revenues				
Tax Revenues	986,367	1,064,485	1,165,662	1,247,258
Licenses and Permits				
Fines and Forfeitures				
Investment/Property Revenues	16,304	21,310	12,980	12,980
Revenue from Others	148,617	218,595	206,455	206,455
Service Charges				
Other Revenue	107,967	132,836	115,500	66,000
Total Revenue	1,259,255	1,437,226	1,500,597	1,532,693
Expenditures				
Operating Programs				
Public Safety				
Public Utilities				
Transportation				
Leisure, Cultural and Social Services	978,223	1,115,272	1,179,835	1,209,740
Community and Economic Development				
General Government				
Total Operating Programs	978,223	1,115,272	1,179,835	1,209,740
Bulk Power Purchase				
Other Purchases				
Capital Projects		89,143	40,000	870,000
Debt Service				
Total Expenditures	978,223	1,204,415	1,219,835	2,079,740
Revenues/Expenditures (Over/Under)	281,032	232,811	280,762	(547,047)
Other Sources (Uses)				
Operating Transfers In			9,800	
Operating Transfers Out	(50,000)	(50,000)	(49,408)	(49,408)
Other Sources (Uses)		85,351	40,000	870,000
Cost of Medical Care/Dental, Vision	(61,747)	(68,175)	(101,095)	(113,732)
Total Other Sources (Uses)	(111,747)	(32,824)	(100,703)	706,860
Fund Balance Beginning of the Year	70,815	240,100	440,087	620,146
Fund Balance End of the Year				
Reserved for Debt Service				
Designated Reserve				
Unreserved	240,100	440,087	620,146	779,959
Total Fund Balance	240,100	440,087	620,146	779,959

2001-03 FINANCIAL PLAN AND SUMMARY
CHANGES IN FUND BALANCE - STREET FUND

	1999-2000	2000-01	2001-02	2002-03
	Actual	Actual	Budget	Budget
Revenues				
Tax Revenues	747,630	933,117	753,405	795,690
Licenses and Permits				
Fines and Forfeitures				
Investment/Property Revenues	173,905	180,560	192,275	192,275
Revenue from Others	2,811,394	3,959,356	2,018,615	5,769,575
Service Charges	1,338,869	1,208,152	808,000	829,000
Other Revenue	158,500	143,088		
Total Revenue	5,230,298	6,424,273	3,772,295	7,586,540
Expenditures				
Operating Programs				
Public Safety				
Public Utilities				
Transportation				
Leisure, Cultural and Social Services				
Community and Economic Development				
General Government				
Total Operating Programs				
Bulk Power Purchase				
Other Purchases				
Capital Projects	4,062,324	7,015,923	4,336,500	8,518,500
Debt Service				
Total Expenditures	4,062,324	7,015,923	4,336,500	8,518,500
Revenues/Expenditures (Over/Under)	1,167,974	(591,650)	(564,205)	(931,960)
Other Sources (Uses)				
Operating Transfers In	1,179,837	925,826	39,350	827,035
Operating Transfers Out	(1,972,309)	(1,702,854)	(1,423,268)	(1,423,268)
Other Sources (Uses)		101,493		669,351
Cost of Medical Care/Dental, Vision				
Total Other Sources (Uses)	(792,472)	(675,535)	(1,383,918)	73,118
Fund Balance Beginning of the Year	3,698,648	4,074,150	2,806,965	858,842
Fund Balance End of the Year				
Reserved for Debt Service				
Designated Reserve				
Unreserved	4,074,150	2,806,965	858,842	(0)
Total Fund Balance	4,074,150	2,806,965	858,842	(0)

2001-03 FINANCIAL PLAN AND SUMMARY
CHANGES IN FUND BALANCE - TRANSPORTATION DEVELOPMENT ACT FUND

	1999-2000	2000-01	2001-02	2002-03
	Actual	Actual	Budget	Budget
Revenues				
Tax Revenues				
Licenses and Permits				
Fines and Forfeitures				
Investment/Property Revenues	(17,988)	33,143	5,000	5,000
Revenue from Others	1,772,629	1,728,241	1,987,873	2,000,000
Service Charges				
Other Revenue	3,210			
Total Revenue	1,757,850	1,761,384	1,992,873	2,005,000
Expenditures				
Operating Programs				
Public Safety				
Public Utilities				
Transportation				
Leisure, Cultural and Social Services				
Community and Economic Development				
General Government				
Total Operating Programs				
Bulk Power Purchase				
Other Purchases				
Capital Projects	30,743			
Debt Service				
Total Expenditures	30,743			
Revenues/Expenditures (Over/Under)	1,727,107	1,761,384	1,992,873	2,005,000
Other Sources (Uses)				
Operating Transfers In		102,376		
Operating Transfers Out		(3,535,331)	(1,987,873)	(2,073,437)
Other Sources (Uses)				7,900
Proceeds from Debt Financing				
Total Other Sources (Uses)		(3,432,955)	(1,987,873)	(2,065,537)
Fund Balance Beginning of the Year		1,727,107	55,536	60,537
Fund Balance End of the Year				
Reserved for Debt Service				
Designated Reserve	1,727,107	55,536	60,537	(0)
Unreserved				
Total Fund Balance	1,727,107	55,536	60,537	(0)

2001-03 FINANCIAL PLAN AND SUMMARY
CHANGES IN FUND BALANCE - CDBG FUND

	1999-2000	2000-01	2001-02	2002-03
	Actual	Actual	Budget	Budget
Revenues				
Tax Revenues				
Licenses and Permits				
Fines and Forfeitures				
Investment/Property Revenues				
Revenue from Others	526,816	1,013,725	975,000	975,000
Service Charges				
Other Revenue				
Total Revenue	526,816	1,013,725	975,000	975,000
Expenditures				
Operating Programs				
Public Safety				
Public Utilities				
Transportation				
Leisure, Cultural and Social Services				
Community and Economic Development				
General Government				
Total Operating Programs				
Bulk Power Purchase				
Other Purchases				
Capital Projects	526,816	1,013,725	865,691	865,691
Debt Service				
Total Expenditures	526,816	1,013,725	865,691	865,691
Revenues/Expenditures (Over/Under)	(0)		109,309	109,309
Other Sources (Uses)				
Operating Transfers In				
Operating Transfers Out			(109,309)	(109,309)
Other Sources (Uses)				
Cost of Medical Care/Dental, Vision				
Total Other Sources (Uses)			(109,309)	(109,309)
Fund Balance Beginning of the Year				(0)
Fund Balance End of the Year				
Reserved for Debt Service				
Designated Reserve				
Unreserved			(0)	(0)
Total Fund Balance	(0)		(0)	(0)

2001-03 FINANCIAL PLAN AND SUMMARY
CHANGES IN FUND BALANCE - POLICE SPECIAL REVENUE FUND

	1999-2000	2000-01	2001-02	2002-03
	Actual	Actual	Budget	Budget
Revenues				
Tax Revenues				
Licenses and Permits				
Fines and Forfeitures				
Investment/Property Revenues	18,107	37,643	31,105	31,105
Revenue from Others	65,020	395,278	750,858	
Service Charges				
Other Revenue		920		
Total Revenue	83,127	433,841	781,963	31,105
Expenditures				
Operating Programs				
Public Safety	76,762	386,340		
Public Utilities				
Transportation				
Leisure, Cultural and Social Services				
Community and Economic Development				
General Government				
Total Operating Programs	76,762	386,340		
Bulk Power Purchase				
Other Purchases				
Capital Projects	7,734		769,163	
Debt Service				
Total Expenditures	84,496	386,340	769,163	
Revenues/Expenditures (Over/Under)	(1,369)	47,501	12,800	31,105
Other Sources (Uses)				
Operating Transfers In	9,184			
Operating Transfers Out	(41,184)			
Other Sources (Uses)				
Cost of Medical Care/Dental, Vision				
Total Other Sources (Uses)	(32,000)			
Fund Balance Beginning of the Year	173,676	140,307	187,808	200,608
Fund Balance End of the Year				
Reserved for Debt Service				
Designated Reserve				
Unreserved	140,307	187,808	200,608	231,713
Total Fund Balance	140,307	187,808	200,608	231,713

2001-03 FINANCIAL PLAN AND SUMMARY
CHANGES IN FUND BALANCE - CAPITAL OUTLAY FUND

	1999-2000	2000-01	2001-02	2002-03
	Actual	Actual	Budget	Budget
Revenues				
Tax Revenues	1,479,551	1,596,727	1,749,069	1,871,504
Licenses and Permits				
Fines and Forfeitures				
Investment/Property Revenues	217,866	512,229	382,945	282,945
Revenue from Others	129,578	298,452	4,283,955	1,100,000
Service Charges	1,803,555	1,254,324	1,634,000	1,227,000
Other Revenue	493,336	199,578		
Total Revenue	4,123,884	3,861,310	8,049,969	4,481,449
Expenditures				
Operating Programs				
Public Safety				
Public Utilities				
Transportation				
Leisure, Cultural and Social Services				
Community and Economic Development				
General Government				
Total Operating Programs				
Bulk Power Purchase				
Other Purchases				
Capital Projects	1,816,365	3,725,782	14,606,542	43,506,000
Debt Service				
Total Expenditures	1,816,365	3,725,782	14,606,542	43,506,000
Revenues/Expenditures (Over/Under)	2,307,519	135,528	(6,556,573)	(39,024,551)
Other Sources (Uses)				
Operating Transfers In	2,736,153	1,929,195	1,850,000	1,800,000
Operating Transfers Out	(3,843,397)	(1,991,242)	(1,700,205)	(1,692,895)
Other Sources (Uses)		(15,000)	616,000	43,184,000
Proceeds from Debt Financing			12,690,823	
Cost of Medical Care/dental, Vision				
Total Other Sources (Uses)	(1,107,244)	(77,047)	13,456,618	43,291,105
Fund Balance Beginning of the Year	1,900,534	3,100,809	3,159,290	10,059,335
Fund Balance End of the Year				
Reserved for Debt Service				
Designated Reserve	3,100,809	3,159,290	10,059,335	14,325,889
Unreserved				
Total Fund Balance	3,100,809	3,159,290	10,059,335	14,325,889

2001-03 FINANCIAL PLAN AND SUMMARY**CHANGES IN FUND BALANCE - EQUIPMENT FUND**

	1999-2000	2000-01	2001-02	2002-03
	Actual	Actual	Budget	Budget
Revenues				
Tax Revenues				
Licenses and Permits				
Fines and Forfeitures				
Investment/Property Revenues				
Revenue from Others				
Service Charges				
Other Revenue				
Total Revenue				
Expenditures				
Operating Programs				
Public Safety				
Public Utilities				
Transportation				
Leisure, Cultural and Social Services				
Community and Economic Development				
General Government				
Total Operating Programs				
Bulk Power Purchase				
Other Purchases		48,689	67,000	20,000
Capital Projects				
Debt Service				
Total Expenditures		48,689	67,000	20,000
Revenues/Expenditures (Over/Under)		(48,689)	(67,000)	(20,000)
Other Sources (Uses)				
Operating Transfers In		30,850	67,000	20,000
Operating Transfers Out				
Other Sources (Uses)			17,839	
Cost of Medical Care/Dental, Vision				
Total Other Sources (Uses)		30,850	84,839	20,000
Fund Balance Beginning of the Year			(17,839)	
Fund Balance End of the Year				
Reserved for Debt Service				
Designated Reserve				
Unreserved		(17,839)		
Total Fund Balance		(17,839)		

2001-03 FINANCIAL PLAN AND SUMMARY
CHANGES IN FUND BALANCE - DEBT SERVICE FUND

	1999-2000	2000-01	2001-02	2002-03
	Actual	Actual	Budget	Budget
Revenues				
Tax Revenues				
Licenses and Permits				
Fines and Forfeitures				
Investment/Property Revenues				
Revenue from Others				
Service Charges				
Other Revenue				
Total Revenue				
Expenditures				
Operating Programs				
Public Safety				
Public Utilities				
Transportation				
Leisure, Cultural and Social Services				
Community and Economic Development				
General Government				
Total Operating Programs				
Bulk Power Purchase				
Other Purchases				
Capital Projects				
Debt Service	1,282,352	1,285,242	1,275,205	1,267,895
Total Expenditures	1,282,352	1,285,242	1,275,205	1,267,895
Revenues/Expenditures (Over/Under)	(1,282,352)	(1,285,242)	(1,275,205)	(1,267,895)
Other Sources (Uses)				
Operating Transfers In	1,282,352	1,285,242	1,275,205	1,267,895
Operating Transfers Out				
Other Sources (Uses)				
Cost of Medical Care/Dental, Vision				
Total Other Sources (Uses)	1,282,352	1,285,242	1,275,205	1,267,895
Fund Balance Beginning of the Year				
Fund Balance End of the Year				
Reserved for Debt Service				
Designated Reserve				
Unreserved				
Total Fund Balance				

2001-03 FINANCIAL PLAN AND SUMMARY
CHANGES IN FUND BALANCE - WATER FUND

	1999-2000	2000-01	2001-02	2002-03
	Actual	Actual	Budget	Budget
Revenues				
Tax Revenues				
Licenses and Permits				
Fines and Forfeitures				
Investment/Property Revenues	93,540	143,281	90,965	90,965
Revenue from Others				
Service Charges	3,966,830	4,153,764	4,761,000	6,320,000
Other Revenue	776,290	229,226	235,000	240,000
Total Revenue	4,836,660	4,526,271	5,086,965	6,650,965
Expenditures				
Operating Programs				
Public Safety				
Public Utilities	4,013,394	7,482,601	2,727,580	2,741,425
Transportation				
Leisure, Cultural and Social Services				
Community and Economic Development				
General Government				
Total Operating Programs	4,013,394	7,482,601	2,727,580	2,741,425
Bulk Power Purchase				
Other Purchases			52,310	
Capital Projects	1,649,809	1,603,471	1,114,025	746,355
Debt Service	226,946	228,013	227,975	227,975
Total Expenditures	5,890,149	9,314,085	4,121,890	3,715,755
Revenues/Expenditures (Over/Under)	(1,053,489)	(4,787,814)	965,075	2,935,210
Other Sources (Uses)				
Operating Transfers In	16,975			
Operating Transfers Out	(655,739)	(655,545)	(782,720)	(789,720)
Other Sources (Uses)	2,388,534	(2,785,905)	43,000	33,000
Cost of Medical Care/Dental, Vision	(65,690)	(25,295)		
Proceeds from Debt financing	2,500,000	4,500,000		
Total Other Sources (Uses)	4,184,080	1,033,255	(739,720)	(756,720)
Fund Balance Beginning of the Year	1,618,075	4,748,666	994,107	1,219,462
Fund Balance End of the Year				
Reserved for Debt Service				
Designated Reserve	4,748,666	994,107	1,219,462	3,397,952
Unreserved				
Total Fund Balance	4,748,666	994,107	1,219,462	3,397,952

2001-03 FINANCIAL PLAN AND SUMMARY
CHANGES IN FUND BALANCE - WASTEWATER FUND

	1999-2000	2000-01	2001-02	2002-03
	Actual	Actual	Budget	Budget
Revenues				
Tax Revenues				
Licenses and Permits				
Fines and Forfeitures				
Investment/Property Revenues	281,356	315,800	325,083	325,083
Revenue from Others				
Service Charges	4,058,245	4,816,793	5,052,000	6,734,000
Other Revenue	114,857	147,289	116,000	161,000
Total Revenue	4,454,457	5,279,882	5,493,083	7,220,083
Expenditures				
Operating Programs				
Public Safety				
Public Utilities	3,009,068	3,170,517	3,427,190	3,419,135
Transportation				
Leisure, Cultural and Social Services				
Community and Economic Development				
General Government				
Total Operating Programs	3,009,068	3,170,517	3,427,190	3,419,135
Bulk Power Purchase				
Other Purchases			46,000	71,000
Capital Projects	635,736	944,000	853,025	3,443,855
Debt Service	806,770	794,370	812,385	817,465
Total Expenditures	4,451,574	4,908,887	5,138,600	7,751,455
Revenues/Expenditures (Over/Under)	2,883	370,995	354,483	(531,372)
Other Sources (Uses)				
Operating Transfers In	161,779			
Operating Transfers Out	(726,749)	(580,301)	(751,823)	(739,324)
Other Sources (Uses)	173,591	(292,459)		2,500,000
Cost of Medical Care/Dental, Vision	(125,809)	(83,100)	(118,300)	(133,088)
Total Other Sources (Uses)	(517,188)	(955,860)	(870,123)	1,627,589
Fund Balance Beginning of the Year	3,832,966	3,318,661	2,733,796	2,218,156
Fund Balance End of the Year				
Reserved for Debt Service				
Designated Reserve	3,318,661	2,733,796	2,218,156	3,314,372
Unreserved				
Total Fund Balance	3,318,661	2,733,796	2,218,156	3,314,372

2001-03 FINANCIAL PLAN AND SUMMARY

CHANGES IN FUND BALANCE - ELECTRIC FUND

	1999-2000	2000-01	2001-02	2002-03
	Actual	Actual	Budget	Budget
Revenues				
Tax Revenues				
Licenses and Permits				
Fines and Forfeitures				
Investment/Property Revenues	2,601,585	4,520,223	3,278,440	3,491,500
Revenue from Others				
Service Charges	38,937,804	40,511,067	46,134,260	50,350,527
Other Revenue	1,213	14,680	2,000	2,000
Total Revenue	41,540,602	45,045,970	49,414,700	53,844,027
Expenditures				
Operating Programs				
Public Safety				
Public Utilities	10,104,147	10,961,009	11,915,545	11,993,592
Transportation				
Leisure, Cultural and Social Services				
Community and Economic Development				
General Government				
Total Operating Programs	10,104,147	10,961,009	11,915,545	11,993,592
Bulk Power Purchase	27,722,088	37,997,566	30,551,000	30,551,000
Other Purchases			520,000	435,000
Capital Projects		6,324,718	8,781,025	9,644,450
Debt Service	1,329,594	1,391,435	1,391,435	1,391,435
Total Expenditures	39,155,829	56,674,728	53,159,005	54,015,477
Revenues/Expenditures (Over/Under)	2,384,773	(11,628,758)	(3,744,305)	(171,450)
Other Sources (Uses)				
Operating Transfers In	1,441,065			
Operating Transfers Out	(3,838,076)	(1,955,758)	(2,318,035)	(2,253,035)
Other Sources (Uses)	1,789,506	(40,872)		
Cost of Medical Care/Dental, Vision	(190,034)	(210,660)	(286,395)	(322,194)
Proceeds from Debt financing		4,727,375	8,360,250	9,470,450
Total Other Sources (Uses)	(797,539)	2,520,085	5,755,820	6,895,221
Fund Balance Beginning of the Year	14,308,528	15,895,762	6,787,089	8,798,605
Fund Balance End of the Year				
Reserved for Debt Service				
Designated Reserve	15,895,762	6,787,089	8,798,605	15,522,375
Unreserved				
Total Fund Balance	15,895,762	6,787,089	8,798,605 *	15,522,375 *

* Does not include the balance of Restricted Cash from the Proceeds of the Certificates of Participation which is approximately 24m as of 4/30/02.

2001-03 FINANCIAL PLAN AND SUMMARY
CHANGES IN FUND BALANCE - TRANSIT FUND

	1999-2000	2000-01	2001-02	2002-03
	Actual	Actual	Budget	Budget
Revenues				
Tax Revenues				
Licenses and Permits				
Fines and Forfeitures				
Investment/Property Revenues	(123,347)	(149,273)	(116,110)	(116,110)
Revenue from Others	2,118,279	2,885,496	1,664,800	1,080,000
Service Charges	212,274	220,128	243,500	248,500
Other Revenue	10,881	273,042		
Total Revenue	2,218,087	3,229,393	1,792,190	1,212,390
Expenditures				
Operating Programs				
Public Safety				
Public Utilities				
Transportation	1,704,540	1,564,665	2,351,179	2,379,751
Leisure, Cultural and Social Services				
Community and Economic Development				
General Government				
Total Operating Programs	1,704,540	1,564,665	2,351,179	2,379,751
Bulk Power Purchase				
Other Purchases				
Capital Projects	2,070,386	3,201,601	720,874	100,000
Debt Service				
Total Expenditures	3,774,926	4,766,266	3,072,053	2,479,751
Revenues/Expenditures (Over/Under)	(1,556,839)	(1,536,873)	(1,279,863)	(1,267,361)
Other Sources (Uses)				
Operating Transfers In	288,307	2,876,233	1,875,086	1,172,965
Operating Transfers Out	(467,164)	(93,804)	(355,811)	(355,811)
Other Sources (Uses)	1,741,470	(2,641,206)	1,169,713	458,999
Cost of Medical Care/Dental, Vision	(5,774)	(5,660)	(7,815)	(8,792)
Total Other Sources (Uses)	1,556,839	135,563	2,681,173	1,267,361
Fund Balance Beginning of the Year		(0)	(1,401,310)	(0)
Fund Balance End of the Year				
Reserved for Debt Service				
Designated Reserve				
Unreserved	(0)	(1,401,310)	(0)	0
Total Fund Balance	(0)	(1,401,310)	(0)	0

2001-03 FINANCIAL PLAN AND SUMMARY
CHANGES IN FUND BALANCE - BENEFITS FUND

	1999-2000	2000-01	2001-02	2002-03
	Actual	Actual	Budget	Budget
Revenues				
Tax Revenues				
Licenses and Permits				
Fines and Forfeitures				
Investment/Property Revenues	(16,763)	(31,999)		
Revenue from Others				
Service Charges	2,266,242	2,267,706	2,976,222	3,337,306
Other Revenue	34,543	19,354		
Total Revenue	2,284,023	2,255,061	2,976,222	3,337,306
Expenditures				
Operating Programs				
Public Safety				
Public Utilities				
Transportation				
Leisure, Cultural and Social Services				
Community and Economic Development				
General Government	2,731,975	2,673,460	3,266,885	3,646,325
Total Operating Programs	2,731,975	2,673,460	3,266,885	3,646,325
Bulk Power Purchase				
Other Purchases				
Capital Projects				
Debt Service				
Total Expenditures	2,731,975	2,673,460	3,266,885	3,646,325
Revenues/Expenditures (Over/Under)	(447,952)	(418,399)	(290,663)	(309,019)
Other Sources (Uses)				
Operating Transfers In	339,900	339,895	357,886	357,887
Operating Transfers Out				
Other Sources (Uses)	91,187	16,399		490,371
Cost of Medical Care/Dental, Vision				
Total Other Sources (Uses)	431,087	356,294	357,886	848,258
Fund Balance Beginning of the Year	(527,492)	(544,357)	(606,462)	(539,239)
Fund Balance End of the Year				
Reserved for Debt Service				
Designated Reserve				
Unreserved	(544,357)	(606,462)	(539,239)	(0)
Total Fund Balance	(544,357)	(606,462)	(539,239)	(0)

2001-03 FINANCIAL PLAN AND SUMMARY
CHANGES IN FUND BALANCE - SELF INSURANCE FUND

	1999-2000	2000-01	2001-02	2002-03
	Actual	Actual	Budget	Budget
Revenues				
Tax Revenues				
Licenses and Permits				
Fines and Forfeitures				
Investment/Property Revenues	151,991	224,309	256,810	256,810
Revenue from Others	45,700	59,859	60,000	60,000
Service Charges				
Other Revenue	173,045	303,722	200,000	200,000
Total Revenue	370,736	587,890	516,810	516,810
Expenditures				
Operating Programs				
Public Safety				
Public Utilities				
Transportation				
Leisure, Cultural and Social Services				
Community and Economic Development				
General Government	1,274,541	1,569,236	1,407,795	1,557,545
Total Operating Programs	1,274,541	1,569,236	1,407,795	1,557,545
Bulk Power Purchase				
Other Purchases				
Capital Projects				
Debt Service				
Total Expenditures	1,274,541	1,569,236	1,407,795	1,557,545
Revenues/Expenditures (Over/Under)	(903,805)	(981,346)	(890,985)	(1,040,735)
Other Sources (Uses)				
Operating Transfers In	821,100	821,100	1,407,795	1,407,795
Operating Transfers Out				
Other Sources (Uses)	(160,567)	(1,676)		(490,371)
Cost of Medical Care/dental, Vision				
Total Other Sources (Uses)	660,533	819,424	1,407,795	917,424
Fund Balance Beginning of the Year	2,941,090	2,697,818	2,535,896	3,052,706
Fund Balance End of the Year				
Reserved for Debt Service				
Designated Reserve	2,697,818	2,535,896	3,052,706	2,929,395
Unreserved				
Total Fund Balance	2,697,818	2,535,896	3,052,706	2,929,395

2001-03 FINANCIAL PLAN AND SUMMARY

CHANGES IN FUND BALANCE - VEHICLE REPLACEMENT FUND

	1999-2000	2000-01	2001-02	2002-03
	Actual	Actual	Budget	Budget
Tax Revenues				
Licenses and Permits				
Fines and Forfeitures				
Investment/Property Revenues	4,333	36,768		
Revenue from Others		24,027		
Service Charges				
Other Revenue		3,692		
Total Revenue	4,333	64,487		
Operating Programs				
Public Safety				
Public Utilities				
Transportation				
Leisure, Cultural and Social Services				
Community and Economic Development				
General Government				
Total Operating Programs				
Bulk Power Purchase				
Other Purchases				
Capital Projects	525,849	495,127	358,000	441,000
Debt Service				
Total Expenditures	525,849	495,127	358,000	441,000
Revenues/Expenditures (Over/Under)	(521,516)	(430,640)	(358,000)	(441,000)
Other Sources (Uses)				
Operating Transfers In	512,539	422,000	425,000	435,000
Operating Transfers Out	(99,410)	(50,000)	(67,000)	(20,000)
Other Sources (Uses)			(17,839)	
Cost of Medical Care/Dental, Vision				
Total Other Sources (Uses)	413,129	372,000	340,161	415,000
	461,055	352,668	294,028	276,189
Reserved for Debt Service				
Designated Reserve				
Unreserved	352,668	294,028	276,189	250,189
Total Fund Balance	352,668	294,028	276,189	250,189

2001-03 FINANCIAL PLAN AND SUMMARY
CHANGES IN FUND BALANCE - TRUST AND AGENCY FUND

	1999-2000	2000-01	2001-02	2002-03
	Actual	Actual	Budget	Budget
Revenues				
Tax Revenues	202,138	220,940	201,727	201,727
Licenses and Permits				
Fines and Forfeitures				
Investment/Property Revenues	25,736	32,959	18,585	18,585
Revenue from Others				
Service Charges				
Other Revenue				
Total Revenue	227,874	253,899	220,312	220,312
Expenditures				
Operating Programs				
Public Safety				
Public Utilities				
Transportation				
Leisure, Cultural and Social Services	50,000			
Community and Economic Development				
General Government				
Total Operating Programs	50,000			
Bulk Power Purchase				
Other Purchases				
Capital Projects			23,500	
Debt Service	218,503	197,347	195,338	194,323
Total Expenditures	268,503	197,347	218,838	194,323
Revenues/Expenditures (Over/Under)	(40,629)	56,552	1,474	25,989
Other Sources (Uses)				
Operating Transfers In	33,571			
Operating Transfers Out		(17,227)	(9,800)	
Other Sources (Uses)				
Cost of Medical Care/Dental, Vision				
Total Other Sources (Uses)	33,571	(17,227)	(9,800)	
Fund Balance Beginning of the Year	1,031,046	1,023,988	1,063,313	1,054,987
Fund Balance End of the Year				
Reserved for Debt Service				
Designated Reserve				
Unreserved	1,023,988	1,063,313	1,054,987	1,080,976
Total Fund Balance	1,023,988	1,063,313	1,054,987	1,080,976

REVENUES by MAJOR CATEGORY & SOURCE

Tax Revenue
Licenses & Permits
Fines & Forfeitures
Investment & Property Revenues
Revenue from Others
Service Fees & Charges
Other Revenue

2001-03 FINANCIAL PLAN AND SUMMARY
REVENUES BY MAJOR CATEGORY AND SOURCE

	1999-2000	2000-01	2001-02	2002-03
	Actual	Actual	Budget	Budget
TAX REVENUES				
Property Tax	4,931,835	5,322,425	5,829,492	6,237,557
Special Assessment	202,138	220,940	201,727	201,727
Sales & Use Tax	7,095,460	8,028,266	8,429,679	8,985,460
Public Safety Sales Tax (Prop 172)	196,180	242,327	250,566	259,085
Street Maintenance Sales Tax (K)	747,630	933,117	753,405	795,690
Transient Occupancy Tax	318,034	390,185	374,814	429,799
Waste Removal Franchise Tax	301,088	371,253	371,253	371,253
Industrial Franchise	50,255	51,630	55,410	58,180
Gas Franchise	137,154	202,578	202,578	202,578
Cable TV Franchise	173,755	182,276	196,045	207,810
Electric Franchise	12,436	11,730	12,960	13,235
In-Lieu Franchise - Electric	4,367,000	4,637,165	4,637,165	4,750,354
In-Lieu Franchise - Sewer	790,000	774,950	774,950	774,950
In-Lieu Franchise - Water	564,000	603,092	603,095	603,095
In-Lieu Franchise - Refuse			250,000	250,000
Real Property Transfer Tax	116,059	158,213	150,000	150,000
Total Tax Revenues	20,003,026	22,130,147	23,093,140	24,290,773
LICENSES AND PERMITS				
Animal License	20,815	22,087	23,315	23,315
Bicycle License	1,571	1,300	1,775	1,775
Business License Tax	657,844	736,302	735,000	773,355
Alarm Permits	3,375	2,300	4,000	4,000
Building Permits	518,748	594,494	594,494	636,109
Transportation Permit	12,372	4,944	5,500	5,500
Electric Permits	69,550	77,620	75,000	70,000
Mechanical Permits (Gas)	47,686	61,674	60,000	50,000
Plumbing Permits	47,962	59,490	60,000	55,000
Industrial Refuse Collection Permit	1,000	500	1,500	1,500
Parking Permits	25,012	31,515	35,000	35,000
Total Licenses and Permits	1,405,934	1,592,226	1,595,584	1,655,554
FINES AND FORFEITURES				
Vehicle Code Fines	131,708	165,436	170,305	183,930
Court Fines	10,465	12,867	15,385	16,615
Parking Fines	43,178	56,287	56,287	56,287
Parking Fines - DMV Hold	12,362	11,775	16,065	17,350
False Alarm Penalty			3,240	3,500
Late Payment - Utility Billing	430,563	426,916	455,800	483,150
Total Fines and Forfeitures	628,276	673,281	717,082	760,832
INVESTMENT/PROPERTY REVENUES				
Investment Earnings	3,526,207	6,026,431	4,645,680	4,798,740
Sale of City Property	23,917	29,657	26,860	27,135
Sale of System (Joint Pole-Elec)	12,479	28,030	20,000	20,000
Rent of City Property	233,558	327,590	319,107	319,107
Total Investment/Property Revenues	3,796,161	6,411,708	5,011,647	5,164,982

2001-03 FINANCIAL PLAN AND SUMMARY
REVENUES BY MAJOR CATEGORY AND SOURCE

	1999-2000	2000-01	2001-02	2002-03
	Actual	Actual	Budget	Budget
REVENUE FROM OTHERS				
Motor Vehicle In-Lieu Tax	3,008,393	3,050,598	3,316,753	3,532,591
Other Grants & Subventions	153,607	211,339	695,000	
Police Training (POST)	51,202	91,094	51,500	51,500
Cracnet & Drug Suppression Grants	123,555	157,029	157,447	157,447
Police/Parks Grants	221,357	675,729	1,034,813	1,150,000
Asset Seizure	36,667	30,377		
Street Maintenance Measure (K)-reimbursements	868,084	844,154		
Auto Theft Revenue	16,337	12,642		
State Hiway Maintenance	5,066	5,066	5,065	5,065
Gas Tax Subvention (2105)	344,125	356,184	354,690	365,330
Gas Tax Subvention (2106)	220,836	230,737	227,425	234,245
Gas Tax Subvention (2107)	465,615	477,942	475,000	475,000
Transportation Dev Act (Gen./Allot)	1,743,005	1,692,326	1,987,873	2,000,000
Transportation Dev Act (Ped/Bike)	29,624	35,915		
Federal Assistance Section IX	1,865,648	2,881,618	620,800	80,000
State Bike Lane Fund	24,502	11,588		
CTC Reimbursement	167,386	878,696		
Traffic Congestion Relief		412,949		
Surface Transportation (ISTEA)/Fed TEA Transit	730,781	747,106		
County Grants			44,000	
Streets Federal grants			961,500	4,695,000
Street Maintenance SB 300	(9,935)			
State Transit Assistance	9,631	3,878		
State Special Grants-Transit	243,001		1,000,000	1,000,000
Parks & Recreation Grants/Allotment	22,225	266,662	32,025	33,345
State Mandates SB 90	156,221	196,824	160,000	160,000
CJPRMA Rebate	45,700	59,859	60,000	60,000
Community Development Block Grant	526,816	1,013,724	975,000	975,000
Revenue from others - SJVAPCD grant		24,027		
Library Grants	148,617	218,595	206,455	206,455
PERS				700,000
Total Revenue from Others	11,218,064	14,586,658	12,365,346	15,880,978
SERVICE FEES AND CHARGES				
Public Safety Fees				
Fingerprinting	12,525	10,296	10,000	10,000
Police Record Fees	25,441	26,730	25,000	25,000
Vehicle Towing	57,645	67,110	60,000	60,000
Other Police Fees	1,122	2,407	2,695	2,740
Fix Ticket/Vin Verification	2,745	1,640	2,000	2,120
Fire Department Services - Other	190	180	230	245
Animal Shelter Fees	18,115	18,469	21,250	22,525
Total	117,784	126,832	121,175	122,630

2001-03 FINANCIAL PLAN AND SUMMARY
REVENUES BY MAJOR CATEGORY AND SOURCE

	1999-2000	2000-01	2001-02	2002-03
	Actual	Actual	Budget	Budget
Electric Operating Revenue				
Domestic Residential	14,733,769	15,005,360	15,182,200	15,066,548
Small Commercial	4,739,697	4,839,858	5,081,590	4,621,709
Dusk to Dawn	39,798	40,426	40,560	41,817
Domestic Mobile Home	270,759	214,821	242,780	198,449
Large Commercial	9,044,378	9,428,455	9,510,230	10,469,453
Small Industrial	775,291	791,191	749,690	852,376
City Accounts	1,946,313	1,921,002	1,220,240	623,366
Medium Industrial	2,076,120	2,168,916	2,685,150	2,993,441
Large Industrial	3,950,529	4,291,553	4,399,700	3,979,345
Residential Low Income	166,828	232,530	674,960	805,802
Public Benefits	899,559	924,960	1,018,690	1,027,860
Additional Sales/Market Cost Adjustment		256,009	5,000,000	9,359,981
Total	38,643,041	40,115,081	45,805,790	50,040,147
Sewer Operating Revenues				
City Accounts	15,371	14,913	18,430	28,960
Other Accounts	2,933,005	2,966,782	3,667,570	5,763,040
Sewer Connection Fees	926,374	1,715,357	1,300,000	500,000
Total	3,874,750	4,697,052	4,986,000	6,292,000
Water Operating Revenues				
City Accounts	55,627	59,268	88,180	119,180
Other Accounts	3,491,975	3,540,823	4,320,820	5,839,820
Total	3,547,602	3,600,091	4,409,000	5,959,000
Non-Operating Utility Revenues				
Revenue - Contract Work for City	188,157	178,138	183,470	188,980
Revenue - Contract Work for Others	33,616	72,133	45,000	46,400
Electric Capacity Revenues	76,705	151,771	100,000	75,000
Industrial Sewer Billings				375,000
Water Tap Fees	154,472	158,250		
Sewer Tap Fees	13,107	14,770		
Liftstation Reimbursement	119,575	14,766		
Total	585,633	589,828	328,470	685,380
Transit Fees				
Dial-A-Ride Passenger Fares	212,274	220,128	243,500	248,500
Total	212,274	220,128	243,500	248,500
Park and Recreation Fees				
Revenue from Playgrounds	170,912	186,479	192,315	203,850
Revenue from Youth/Teen Sports	44,643	46,479	51,005	68,790
Revenue - Indoor/Outdoor Activities	31,154	19,699	18,780	19,910
Revenue from Aquatics	68,741	67,937	60,000	77,500
Revenue from Adult Sports	62,093	61,833	69,770	77,555
BOBS Reimbursements	26,629	17,605	31,560	33,455
Revenue from Lodi Lake Park	76,165	75,014	80,735	85,580
Other Park Fees	5,426	7,725	5,820	6,170
Rent - Park & Recreation Facilities	67,693	43,632	60,000	65,000
Total	553,456	526,403	569,985	637,810

2001-03 FINANCIAL PLAN AND SUMMARY

REVENUES BY MAJOR CATEGORY AND SOURCE

	1999-2000	2000-01	2001-02	2002-03
	Actual	Actual	Budget	Budget
Community Center Fees				
Swimming	77,035	50,962	93,275	102,605
Rents	127,322	155,041	179,530	179,530
Other Fees	60,403	127,334	127,334	127,334
Revenues NOC				
Total	264,760	333,337	400,139	409,469
Community Development Fees				
Plan Check Fees	313,594	382,127	382,127	382,127
Inspection/Reinspection Fees	15,081	12,191	12,191	12,191
Planning Fees	84,464	94,437	94,437	94,437
Total	413,139	488,755	488,755	488,755
Public Works Engineering Fees				
Engineering Fees	95,275	127,494	121,000	177,494
Development Impact Fees	3,454,276	2,942,048	2,388,000	2,000,000
Special Inspections	1,965	2,200	2,000	2,000
Plans & Specs	4,651	18,396	6,765	7,170
Engineering Inspections	43,193	81,312	70,000	81,312
Total	3,599,360	3,171,450	3,059,765	2,751,976
Administrative Fees				
Utility Connections	13,315	15,934	17,035	18,055
Returned Check Charge	14,033	13,215	15,415	16,340
Total	27,348	29,149	32,450	34,395
Benefit and Insurance Fees				
Medical Care	1,660,493	1,707,424	2,375,200	2,731,480
Retiree's Medical Care	77,350	43,006		
Dental Insurance	306,715	297,146	289,195	289,195
Vision Care	70,949	67,779	65,830	65,830
Chiropractic	32,868	30,990	33,520	33,520
Life Insurance	30,531	29,641	37,644	37,644
Accidental Death Insurance	5,285	6,260		
Unemployment Insurance	49,310	50,682	41,314	42,507
Long Term Disability	32,741	34,778	133,519	137,130
Total	2,266,242	2,267,706	2,976,222	3,337,306
Total Service Fees and Charges	54,105,389	56,165,812	63,421,251	71,007,368
OTHER REVENUE				
Damage to Property Reimbursements	9,752	3,907	10,000	16,000
Donations	503,605	203,426	5,000	5,000
Library Fines, Fees & Gifts	58,427	60,593	58,500	59,000
Donations	48,621	69,274	56,000	6,000
Revenues NOC	1,330,386	1,149,290	574,915	624,970
Reimbursable Charges (Work-Others)	107,562	140,821	104,625	110,905
Revenue by Transfer	31,049	38,136	30,000	32,000
Extra Refuse	250	1,988		
Total Other Revenue	2,089,651	1,667,435	839,040	853,875
REVENUE TOTAL	93,246,502	103,227,267	107,043,086	119,614,362

OPERATING BUDGET by ACTIVITY

Public Safety
Public Utilities
Transportation
Leisure, Cultural & Social Services
Community & Economic Development
General Government

2001-03 FINANCIAL PLAN AND SUMMARY
OPERATING BUDGET BY ACTIVITY

	1999-2000	2000-01	2001-02	2002-03
	Actual	Actual	Budget	Budget
Public Safety				
Police Protection				
Police Administration	804,868	870,756	968,440	980,720
Police Operations	4,043,453	4,649,315	4,444,680	4,602,565
Police Investigations	2,322,190	2,797,769	2,857,270	2,946,130
Animal Shelter	109,301	154,625	169,900	182,055
Fire Safety				
Fire Administration	296,200	292,899	315,545	323,660
Fire Life and Safety	193,136	195,462	232,765	238,165
Emergency Services	2,688,366	3,173,073	3,587,540	3,871,210
Training	125,172	123,859	209,045	211,585
Support Services	86,235	90,172	89,245	91,785
Other Safety Services				
Graffiti Abatement	34,250	39,070	43,695	44,750
Total Public Safety	10,703,171	12,387,000	12,918,125	13,492,625
Public Utilities				
Water Utility Services				
Water	4,013,394	7,482,601	2,727,580	2,741,425
Wastewater Utility Services				
Wastewater	3,009,068	3,170,517	3,427,190	3,419,135
Electric Utility Services				
Electrical	10,104,147	10,961,009	11,915,545	11,993,592
Total Public Utilities	17,126,609	21,614,127	18,070,315	18,154,152
Transportation				
Streets and Flood Control				
Street Maintenance	1,268,514	1,461,083	1,453,420	1,684,605
Street Trees	164,914	157,432	132,010	133,220
Street Cleaning	240,358	258,995	394,510	451,660
Parking Lot Maintenance	4,264	6,346	12,725	12,725
Transit				
Transit	1,704,540	1,564,665	2,351,179	2,379,751
Total Transportation	3,382,590	3,448,521	4,343,843	4,661,961
Leisure, Cultural & Social Services				
Parks and Recreation				
Parks and Recreation Commission	4,076	1,628	3,950	3,950
Parks and Recreation Administration	680,234	630,238	795,615	861,290
Recreation Division				
Youth/Teen Sports	138,539	131,810	124,615	155,340
Indoor/Outdoor Activities	38,134	21,535	18,070	18,070
Aquatics	89,851	101,220	85,860	99,075
Adult Sports	54,757	58,810	49,775	53,375
LUSD Healthy Start	18,017	18,000		
Concessions/After School Programs	271,219	357,716	339,320	205,380
Parks Division				
Lodi Lake Park	160,809	138,051	172,255	176,895
Other Parks	489,983	526,226	536,845	592,910
Park Operations	90,851	103,395	131,475	135,780
Equipment Maintenance	122,400	116,813	125,905	127,175
Parks - Sports Facility Maintenance	381,869	422,849	405,535	463,015
Cultural Services				
Library Board	1,751	4,981	5,000	5,000
Library	1,026,472	1,110,291	1,174,835	1,204,740
Cultural Activities - Community Center	855,377	1,036,036	1,113,440	1,160,970
Total Leisure, Cultural & Social Services	4,424,339	4,779,599	5,082,495	5,262,965
Community & Economic Development				
Planning				
Community Development Commissions	7,460	5,418	10,360	10,360
Current and Advanced Planning	408,463	378,104	511,290	482,110
Code Enforcement	168,292	267,426	386,555	391,840
Building and Safety	555,769	607,096	645,220	662,760
Engineering	984,395	1,165,503	1,194,130	1,283,290
Economic Development				
Economic Development	351,034	479,999	514,080	502,355
Total Community & Economic Development	2,475,413	2,903,546	3,261,635	3,332,715

2001-03 FINANCIAL PLAN AND SUMMARY

OPERATING BUDGET BY ACTIVITY

	1999-2000	2000-01	2001-02	2002-03
	Actual	Actual	Budget	Budget
General Government				
Legislation and Policy				
City Council	58,547	51,222	67,150	70,400
General Administration				
City Manager	336,173	387,259	412,935	419,410
Public Works Administration	287,423	345,234	375,065	432,167
Legal Services				
City Attorney	221,497	267,293	289,920	293,610
City Clerk Services				
City Council Protocol	14,696	16,688	22,000	22,000
Records Administration	207,241	210,787	240,710	264,335
Election Administration	4,457	16,189	1,300	39,600
Administrative Services				
Human Resources Administration & Citywide Training	338,198	434,464	375,850	429,775
Risk Management	50,588	43,480	174,780	137,145
Information Systems	651,889	645,845	803,780	879,900
Finance Administration	283,672	270,976	194,415	198,755
Accounting Services	390,520	488,418	523,855	576,905
Revenue Services	608,908	588,098	693,050	712,895
Purchasing	225,970	256,546	273,540	280,530
Organizational Support Services				
Field Services	286,478	335,529	370,240	379,025
Building Maintenance	417,990	512,640	545,355	551,335
Equipment Maintenance & Motor Pool	860,680	1,107,238	1,067,280	1,077,835
Non-Departmental Services				
General Support	431,939	740,222	700,715	733,783
Citywide Utilities	756,424	839,439	871,855	947,655
General Liability	383,835	306,511	500,520	650,270
Other Insurances	31,265	38,994	70,515	70,515
Workers' Compensation Charges	859,442	1,223,731	836,760	836,760
Benefits Administration	2,731,975	2,673,460	3,266,885	3,646,325
Total General Government	10,439,807	11,800,263	12,678,475	13,650,930
TOTAL OPERATING BUDGET	48,551,930	56,933,056	56,354,888	58,555,348

INTERFUND TRANSFERS

General Fund
Library Fund
Street Fund
Transportation Fund
CDBG Fund
Police Special Revenue Fund
Capital Outlay Fund
Equipment Fund
Debt Service Fund
Water Fund
Wastewater Fund
Electric Fund
Transit Fund
Child Care Service Fund
Benefits Fund
Self Insurance Fund
Trust & Agency Fund

2001-03 FINANCIAL PLAN AND SUMMARY

INTERFUND TRANSACTIONS - OPERATING TRANSFERS

	1999-2000	2000-01	2001-02	2002-03
	Actual	Actual	Budget	Budget
GENERAL FUND				
Operating Transfers In				
Cost of Services Transactions	2,810,562	3,046,960	3,502,859	3,502,859
Street Fund - gas tax to offset street maint	1,004,000	1,004,000	1,047,540	1,047,540
Engineering costs			375,728	375,728
Sewer- additional street sweeping(storm drains)			82,000	82,000
Water/Sewer-Safety Program (PW)			25,000	
Electric -IIPP			25,000	
Total Transfers	180,449	137,601		19,500
Total Transfers In	3,995,011	4,188,561	5,058,127	5,027,627
Operating Transfers Out				
Benefits Fund	(269,485)	(269,485)	(282,729)	(282,729)
Insurance Funds	(400,000)	(400,000)	(1,026,984)	(1,026,984)
General Fund Capital		(1,524,570)	(1,500,000)	(1,500,000)
Total Transfers	(454,260)	(85,850)	(284)	(284)
Total Transfers Out	(1,123,745)	(2,279,905)	(2,809,997)	(2,809,997)
TOTAL GENERAL FUND	2,871,266	1,908,656	2,248,130	2,217,630
LIBRARY FUND				
Operating Transfers In		-	9,800	
Operating Transfers Out				
Cost of Services Transactions	(50,000)	(50,000)	(49,408)	(49,408)
Total Transfers Out	(50,000)	(50,000)	(39,608)	(49,408)
TOTAL LIBRARY FUND	(50,000)	(50,000)	(39,608)	(49,408)
STREET FUND				
Operating Transfers In				
TDA Fund		512,997	39,350	827,035
Total Transfers	1,179,837	412,829		
Total Transfers In	1,179,837	925,826	39,350	827,035
Operating Transfers Out				
Cost of Services Transactions			(375,728)	(375,728)
General Fund Street Maintenance (gas tax)		(1,064,101)	(1,047,540)	(1,047,540)
Total Transfers	(1,972,309)	(638,753)		
Total Transfers Out	(1,972,309)	(1,702,854)	(1,423,268)	(1,423,268)
TOTAL STREET FUND	(792,472)	(777,028)	(1,383,918)	(596,233)
TRANSPORTATION DEVELOPMENT ACT FUND				
Operating Transfers In				
Total Transfers		102,376		
Total Transfers In	-	102,376	-	-
Operating Transfers Out				
Cost of Services Transactions			(73,437)	(73,437)
Total Transfers		(3,535,331)	(1,914,436)	(2,000,000)
Total Transfers Out	-	(3,535,331)	(1,987,873)	(2,073,437)
TOTAL TRANSPORTATION DEVELOPMENT ACT	-	(3,432,955)	(1,987,873)	(2,073,437)
CDBG FUND				
Operating Transfers Out				
Cost of Services Transactions			(109,309)	(109,309)
Total Transfers Out	-	-	(109,309)	(109,309)
TOTAL CDBG FUND	-	-	(109,309)	(109,309)
POLICE SPECIAL REVENUE FUND				
Operating Transfers In	9,184			
Total Transfers In	9,184	-	-	-
Operating Transfers Out	(41,184)			
Total Transfers Out	(41,184)	-	-	-
TOTAL POLICE SPECIAL REVENUE FUND	(32,000)	-	-	-

2001-03 FINANCIAL PLAN AND SUMMARY

INTERFUND TRANSACTIONS - OPERATING TRANSFERS

	1999-2000	2000-01	2001-02	2002-03
	Actual	Actual	Budget	Budget
CAPITAL OUTLAY FUND				
Operating Transfers In				
Total Transfers	2,721,153	429,195		
General Fund		1,500,000	1,500,000	1,500,000
Electric Fund			350,000	300,000
Total Transfers In	2,721,153	1,929,195	1,850,000	1,800,000
Operating Transfers Out				
Vehicle Maintenance Fund			(425,000)	(425,000)
Total Transfers	(3,843,397)	(1,991,242)	(1,275,205)	(1,267,895)
Total Transfers Out	(3,843,397)	(1,991,242)	(1,700,205)	(1,692,895)
TOTAL CAPITAL OUTLAY FUND	(1,122,244)	(62,047)	149,795	107,105
VEHICLE MAINTENANCE FUND				
Operating Transfers In				
Capital Outlay Fund	512,539	422,000	425,000	435,000
Operating Transfer Out				
Equipment Replacement Fund	(99,410)	(50,000)	(67,000)	(20,000)
Total Transfers In	413,129	372,000	358,000	415,000
TOTAL VEHICLE MAINTENANCE FUND	413,129	372,000	358,000	415,000
DEBT SERVICE FUND				
Operating Transfers In				
Capital Outlay Fund	1,282,352	1,285,242	1,275,205	1,267,895
Total Transfers In	1,282,352	1,285,242	1,275,205	1,267,895
TOTAL DEBT SERVICE FUND	1,282,352	1,285,242	1,275,205	1,267,895
WATER FUND				
Operating Transfers In				
Total Transfers	16,975	-	-	-
Total Transfers In	16,975	-	-	-
Operating Transfers Out				
Cost of Services Transactions			(680,259)	(680,259)
Benefits Fund			(17,896)	(17,896)
Insurance Funds			(72,065)	(72,065)
General Fund			(12,500)	(19,500)
Total Transfers	(655,739)	(655,545)	(782,720)	(789,720)
Total Transfers Out	(655,739)	(655,545)	(782,720)	(789,720)
TOTAL WATER FUND	(638,764)	(655,545)	(782,720)	(789,720)
WASTEWATER FUND				
Operating Transfers In		-		
Total Transfers	161,779	-	-	-
Total Transfers In	161,779	-	-	-
Operating Transfers Out				
Cost of Services Transactions			(537,333)	(537,333)
General Fund			(12,500)	
General Fund-additional street sweeping (storm drains)			(82,000)	(82,000)
Benefits Fund			(17,894)	(17,894)
Insurance Funds			(102,097)	(102,097)
Total Transfers	(726,749)	(580,301)	(751,823)	(739,324)
Total Transfers Out	(726,749)	(580,301)	(751,823)	(739,324)
TOTAL WASTEWATER FUND	(564,970)	(580,301)	(751,823)	(739,324)
ELECTRIC FUND				
Operating Transfers In				
Total Transfers	1,441,065	-	-	-
Total Transfers In	1,441,065	-	-	-
Operating Transfers Out				
Cost of Services Transactions			(1,697,018)	(1,697,018)
Benefits Fund			(39,368)	(39,368)
Insurance Funds			(206,649)	(206,649)
General Fund CIP			(350,000)	(300,000)

2001-03 FINANCIAL PLAN AND SUMMARY

INTERFUND TRANSACTIONS - OPERATING TRANSFERS

	1999-2000	2000-01	2001-02	2002-03
	Actual	Actual	Budget	Budget
General Fund			(25,000)	
Total Transfers	(3,838,076)	(1,955,758)		(10000)
Total Transfers Out	(3,838,076)	(1,955,758)	(2,318,035)	(2,253,035)
TOTAL ELECTRIC FUND	(2,397,011)	(1,955,758)	(2,318,035)	(2,253,035)
TRANSIT FUND				
Operating Transfers In				
Transportation Development Act		2,876,233	1,875,086	1,172,965
Total Transfers	288,307			
Total Transfers In	288,307	2,876,233	1,875,086	1,172,965
Operating Transfers Out				
Cost of Services Transactions			(355,811)	(355,811)
Total Transfers	(467,164)	(93,804)		
Total Transfers Out	(467,164)	(93,804)	(355,811)	(355,811)
TOTAL TRANSIT FUND	(178,857)	2,782,429	1,519,275	817,154
BENEFITS FUND				
Operating Transfers In				
General Fund			282,729	282,729
Electric Fund			39,368	39,368
Wastewater Fund			17,894	17,894
Water Fund			17,896	17,896
Total Transfers	339,900	339,895		
Total Transfers In	339,900	339,895	357,886	357,887
TOTAL BENEFITS FUND	339,900	339,895	357,886	357,887
SELF INSURANCE FUND				
Operating Transfers In	821,100	821,100		
General Fund			1,026,984	1,026,984
Electric Fund			206,649	206,649
Wastewater Fund			102,097	102,097
Water Fund			72,065	72,065
Total Transfers In	821,100	821,100	1,407,795	1,407,795
TOTAL SELF INSURANCE FUND	821,100	821,100	1,407,795	1,407,795
EQUIPMENT REPLACEMENT FUND				
Total Transfers In	-	30,850	67,000	20,000
Total Transfers Out	-	-	-	-
TOTAL EQUIPMENT REPLACEMENT FUND	-	30,850	67,000	20,000
TRUST AND AGENCY FUND				
Operating Transfers In	33,571			
Operating Transfers Out		(17,227)	(9,800)	
Total Transfers Out	-	(17,227)	(9,800)	-
TOTAL TRUST AND AGENCY FUND	33,571	(17,227)	(9,800)	-
PARKS SPECIAL GRANTS				
Total Transfers In				
Total Transfers Out		(9311)		
TOTAL PARKS SPECIAL GRANTS		(9311)		

SUMMARY OF SIGNIFICANT EXPENDITURES
and
STAFFING CHANGES

Public Safety
Public Utilities
Transporation
Leisure, Cultural & Social Services
Community & Economic Development
General Government

SUMMARY OF SIGNIFICANT EXPENDITURES AND STAFFING CHANGES

	FTE	2001-02 Requested Cost	FTE	City Manager Recommended	FTE	2002-03 Requested Cost	FTE	City Manager Recommended	Comment
Public Safety									
Police Protection									
Police Administration									
Hire Part-time Officer	0.5	20,000	0.5	10,000					
Support Services									
Hire School Resource Officer	1		1						100% funded-LUSD COPS grant (\$64,000)
Police Operations									
Hire P/T Chaplain		20,000						20,000	
Animal Control									
Upgrade Officer to Supervisor		6,500						6,500	
Fire Safety									
Fire & Life Safety									
Fire Safety Educational Program Funding		8,000						7,000	
Annual Maintenance - Certification test for Tiller Truck						3,300		3,300	
Fire Station # 4 funding						8,600		8,600	
Paramedic Services						611,000			will return to Council in the future
Emergency Services									
Hire Firefighters (5) & Bat Chief (1)	3	216,170	3	216,170	3	183,790	3	183,790	net of savings
Total Public Safety	4.5	270,670	4.5	226,170	3	806,690	3	229,190	
Public Utilities									
Water/Wastewater Utility Services									
Contract services - 2 years		56,760		56,760					split funding W/WW/DBCP/Street
Wastewater Treatment Facility chemical/lab costs						180,000		180,000	
Electric Utility Services									
Electric Services									
Professional Services		90,000		90,000					
Lease temporary office trailer & furniture						28,150			not recommended
Electrical Tech						97,875			pulled at department's request
Electrical Engineering & Operations									
Office Furniture Replacement		10,000		10,000					one time only expenditure
Hire Electrical Engineer	1	83,100							pending re-organization study
Hire Temporary AutoCad Technician	0.25	15,000	0.25	15,000					
Electric Construction & Maintenance									
Add Administrative Clerk	1	27,210				(12,000)			pending re-organization study
Hire Line Crew & Vehicles	3	533,365	3	533,365					
Contract Personnel & Tools		377,135		377,135					
Hire Groundperson/Apprentice Lineman					1	55,615	1	55,615	
Prof. services for Painting		20,000		20,000					
Total Public Utilities	5.25	1,212,570	3.25	1,102,260	1	349,640	1	235,615	
Transportation									
Streets and Flood Control									
Convert to F/T Administrative Clerk	0.2	18,900					0.2	18,900	net of savings from p/t (was contract)
Hire Temporary Secretarial Assistance		10,100							
Stormwater NPDES Permit		50,000		50,000					100% funded by wastewater
Additional Funding for Street sweeping		82,000		82,000					100% funded by wastewater
Street Trench Damage Assessment Study		35,000						35,000	offset by revenue
Landscape Maint. Contract Service		29,025		29,025		52,375		52,375	six mo cost in 1st yr; full yr funding 2nd yr
Signal Maintenance/Timing Monitoring						7,000			deferred
Traffic Signal supplies						30,000			deferred
Signal Maintenance services						36,200		36,200	
Total Transportation	0.20	225,025	-	161,025	-	125,575	0.20	142,475	
Leisure, Cultural & Social Services									
Parks and Recreation									
Parks and Recreation Administration									
Convert to F/T Administrative Clerk	0.2	17,875					0.2	17,875	net of savings from p/t (was contract)
Hire P/T Intern/CAD Operator	0.5	10,810					0.5	10,810	Prop 12 funding
Grape Festival ground lease increase						6,000		6,000	
Kinder Gym & Youth kickball program						14,725		14,725	cost offset by revenues
Armory Building Site Supervisor						16,000		16,000	
Increase in Aquatics personnel budget						13,900		13,900	cost offset by revenues
Softball supplies						3,600		3,600	cost offset by revenues
Tabloid design & printing						14,000		14,000	
Aquatics									
Hire P/T Lifeguard	0.5	3,900							
Hire P/T Swim Instructors									offset by revenue - 5 instructors for 2 wks
Sports Facilities									
Convert to F/T Hire Laborers (2)	0.2	21,295			0.2	22,775	0.4	44,070	net of savings from p/t (was contract)
Hire Laborer	1	39,255							not recommended - pending lease agree
Parks Division									
American Legion Expenses		56,100							not recommended - pending lease agree
Lodi Lake Park									
Hire P/T Laborer - Irrigation Installer	0.5	12,640	0.5	12,640					
Park Maintenance									
Hire P/T Laborer	0.5	11,505							
Convert to F/T Laborers (2)	0.2	19,125			0.2	23,685	0.4	42,810	net of savings from p/t (was contract)
Parks Program									

2001-03 FINANCIAL PLAN & BUDGET

SUMMARY OF SIGNIFICANT EXPENDITURES AND STAFFING CHANGES

	FTE	2001-02 Requested Cost	FTE	City Manager Recommended	FTE	2002-03 Requested Cost	FTE	City Manager Recommended	Comments
Cultural Services									
Library									
Purchase Digital Copier		9,800		9,800					private sector trust
F/T clerical assistance							1		no additional cost
Benefits for permanent part time staff						21,400			
Funding for Extended Hours of Operation	0.5	61,630					0.2	21,400	
Hire P/T Reference Librarian	0.5	20,665					0.5	20,665	
Transfer Utilities to GF		85,700		85,700					
Community Center									
Performing Arts Center									
F/T Arts Program Assistant						24,840	1	24,840	
Hire Stage Tech Manager	1	35,000	1	35,000					cost offset by revenues
Lodi Arts Commission Grant		25,000		25,000					
Swimming Pool									
Replace Pool Lift		9,300							one time only expenditures
Total Leisure, Cultural & Social Ser	5.6	439,600	1.5	168,140	0.4	160,925	4.2	280,695	
Community & Economic Development									
Community Improvement									
Additional Funds for Repair/Demolition		50,000		50,000					cost offset by revenues
Contract Code Enforcement Officer		57,200		57,200					
Planning									
Consultant Services		40,000		40,000					impact fees
Community Promotion									
LCVB Additional Funding		70,000		70,000					
Increase funding for fireworks						3,000		3,000	
DLB additional Funding		60,000		47,000					one time expenditure
Engineering									
Hire Civil Engineer	1	49,000				(20,900)	1	49,000	net of savings
Hire Engineering Technician	1	68,220	1	68,220					
Contract Plan check services						50,000		50,000	DMF
Contract for Document Preservation		5,000							
Redesign map guide site & software upgrade						19,500		19,500	
Community & Econ Dev	2.0	399,420	1	332,420		51,600	1	121,500	
General Government									
General Administration									
Non - Departmental									
Purchase LCD Projectors (6)									move to capital
LAFCO Membership & Dues		13,000		13,000					
Public Works Administration									
Funding for Solid Waste Contract		20,000		20,000					one time expenditure
General Support									
Financial audit - Lodi Boys & Girls Club						1,500			
Administrative Services									
Information Systems									
Purchase Network Management Software		5,500							one time expenditure
Network Technician						69,070	1	69,070	offset by grant 1 yr
City Clerk									
Administrative Clerk Confidential	0.5	25,980					0.5	25,980	net of savings from p/t
Fortis Software Upgrade/Support		7,425		7,425		7,425		7,425	
Microfiche Printing - Fortis		5,750		5,750					one time expenditure
Tuition reimbursement						2,000		2,000	
Conference of Mayors dues						3,250		3,250	
Transient Occupancy Tax Measure						9,000		9,000	
City Council Candidates Statements of Qualifications						4,000		4,000	
Information Systems									
Human Resources									
Organization Study		10,000		10,000					one time expenditure
Hire Benefits Coordinator	0.25	12,685							net of savings from p/t & outside admin
Fund Recruitment Advertising		16,810		10,000					
Fingerprinting		10,000		10,000					
Risk Management									
Safety Program (PW)		25,000		25,000					100% funded by wastewater & water
Illness & Injury Prevention Program (Elec)		25,000		25,000					100% funded by Electric
Body Mechanics Training		9,000		4,500		9,000		4,500	
Finance Parking Enforcement									
Convert to F/T Parking Enforcement Officer						29,700			deferred
Finance Accounting Division									
Convert to F/T Accounting Clerk	0.2	23,800					0.2	23,800	pending HR organization study
Hire Accountant I	1	56,380					1	56,380	pending HR organization study
Finance Revenue Division									
Convert to F/T Customer Service Rep (2)	0.2				0.2		0.4		pending HR organization study
Purchase lobby security camera						3,900			
Organizational Support Services									
Finance Field Services									
Convert to F/T Meter Reader	0.2		0.2						net of savings from p/t (was contract)
Facilities Services									

2001-03 FINANCIAL PLAN & BUDGET

SUMMARY OF SIGNIFICANT EXPENDITURES AND STAFFING CHANGES

	2001-02		2002-03		2002-03		2002-03		Comment
	FTE	Requested Cost	FTE	City Manager Recommended	FTE	Requested Cost	FTE	City Manager Recommended	
Convert to F/T Const Proj Manager						-			net of savings from p/t (was contract)
Hire Facilities Supervisor	1	63,315	1	63,315		(4,000)			one time cost of equipment in 1st yr
Hire Facilities Maint Worker I/II					1	45,825			
All-Veteran's Memorial Mall						5,610		5,610	
Fleet Services									
Hire Fleet Services Worker	1	41,270	1	41,270				-	Transit funded
Total General Government	4.35	370,915	2.2	235,260	1.2	186,280	3.1	211,015	
TOTAL OPERATING BUDGET	21.9	2,918,200	12.5	2,225,275	5.6	1,680,710	12.5	1,220,490	

FUNDING SOURCES:

General Fund	14.7	1,300,807	7.2	811,297	4.6	1,331,070	8	832,500
Transit Fund	1.0	41,270	1	41,270				
Library Fund	1.0	82,295		-				72,065
Private Sector Trust		9,800		9,800				
Reimbursed by Others	1.0	-	1	-				
Offset by Revenues	0.5	60,810		50,000			0.5	10,810
Impact Fees		40,000		40,000				50,000
Water Fund		32,366		32,366				19,500
Wastewater Fund		164,366		164,366				180,000
DBCP		5,676		5,676				
Electric Fund	5.2	1,180,810	3.25	1,070,500	1	349,640	1	55,615
	21.9	2,918,200	12.5	2,225,275	5.6	1,680,710	9.50	1,220,490

CAPITAL BUDGET DETAIL
by
ACTIVITY

Public Safety
Public Utilities
Transporation
Leisure, Cultural & Social Services
Community & Economic Development
General Government

2001-03 FINANCIAL PLAN & BUDGET

CAPITAL BUDGET DETAIL BY ACTIVITY

	2001-02 Requested Cost	City Manager Recommended	2002-03 Requested Cost	City Manager Recommended	Comments
Public Safety					
Police Department					
Public Safety Complex	9,362,000	9,362,000	4,538,000	4,538,000	financed
Old Public Safety Remodel			3,100,000	3,100,000	financing
Police Outdoor Range			400,000	400,000	police grants
Civic Center Parking Structure			6,000,000	6,000,000	undetermined
Animal Shelter					
Animal Shelter Expansion	220,000	220,000	3,880,000	3,880,000	financed
Interim Animal Shelter Improvements	67,325	67,325			GF Capital
3/4 Ton CNG truck	40,000	40,000			other funding i.e. grants
Fire Department					
Fire Station 2 Remodel			1,000,000	1,000,000	financed
Emergency Services					
Tools & Equipment for Quint	70,000	70,000			other funding i.e. grants
Rescue Trailer & Equipment			34,000	34,000	other funding i.e. grants
1 1/2 Ton Truck to Pull Command Center	49,000			49,000	GF Capital
Total Public Safety	9,868,325	9,759,325	19,012,000	19,001,000	
Public Utilities					
Water Utility Services					
Water					
Water System Capital Expansion	400,000	400,000	70,000	70,000	Water
Water System Capital Maint	695,000	695,000	475,000	475,000	Water
Wastewater Utility Services					
Purchase Pipe Inspector System			16,000	16,000	Wastewater
Wastewater - White Slough Facility Upgrade	350,000	350,000			Wastewater
White Slough WPCF			2,500,000	2,500,000	Wastewater
Wastewater - Collection System (CM)	235,000	235,000	295,000	295,000	Wastewater
White Slough Capital Maintenance	227,000	227,000	357,000	357,000	Wastewater
Main St. Storm drain mitigation			34,500	34,500	Wastewater
Wastewater - outfall diversion structure			40,000	40,000	Wastewater
Remote terminal Unit Replacement			152,710	152,710	Water/Wastewater
Electric Utility Services					
Upgrade server			20,000	20,000	Elec
Electric Utility					
Electric Service Center	2,000,000	2,000,000	5,000,000	5,000,000	Elec
Electrical Engineering & Operations					
Personal Computers Replacement	21,000	21,000	14,000	14,000	Elec
Engineering Analysis & Design Software	50,000	50,000	50,000	50,000	Elec
Electric Construction & Maintenance					
Line Extensions	1,094,800	1,094,800	1,036,300	1,036,300	Elec
Distribution System Improv	1,795,200	1,795,200	1,527,200	1,527,200	Elec
Substation Construction	1,886,250	1,886,250	419,950	419,950	Elec
Street Light Improvement	1,484,000	1,484,000	1,487,000	1,487,000	Elec
Overhead Wire Puller	40,000	40,000			Elec
Flatbed Trailer	15,000	15,000			Elec
Forklift	90,000	90,000			Elec
High Current Test Set	30,000	30,000			Elec
Battery Testing Equipment	10,000	10,000			Elec
Tapchanger Oil Filter Pumps			23,000	23,000	Elec
Substation Block Wall	100,000	100,000			Elec
Total Public Utilities	10,523,250	10,523,250	13,517,660	13,517,660	

2001-03 FINANCIAL PLAN & BUDGET

CAPITAL BUDGET DETAIL BY ACTIVITY

	2001-02 Requested Cost	City Manager Recommended	2002-03 Requested Cost	City Manager Recommended	Comments
Transportation					
Streets and Flood Control					
Streets Division					
Tree Planting/Landscape - Hutchins St	182,000	182,000			streets/ elec grant
Various Street Maintenance Projects	2,619,000	2,619,000	4,780,000	4,780,000	Measure K/ TDA/State & Fed TI
Downtown Street Improvements	160,000	160,000	281,500	281,500	Street Fd/EU/CDBG
Major Street Expansion Projects	1,630,000	1,630,000	3,730,000	3,730,000	Measure K/ TDA/State & Fed TI
Total Transportation	4,591,000	4,591,000	8,791,500	8,791,500	
Leisure, Cultural & Social Services					
Parks & Recreation Capital	150,000	150,000	150,000	150,000	GF capital
Salas Park Backstop (50,000)					
Small Projects (20,000)					
Shade Structure (25,000)					
Maxicom Irrigation Kofu (20,000)					
Maxicom Irrigation Salas (25,000)					
Maxicom Irrigation Beckman (20,000)					
Slurry Seal for Nature Trail (10,000)					
Lodi Lake Parking lot (100,000)					
Lodi Lake Pedestrian Trail Phase II	500,000	500,000			undetermined
Aquatic Center	225,000	225,000	6,775,000	6,775,000	undetermined
DeBenedetti Park Development	310,000	310,000	7,090,000	7,090,000	Financing
Indoor Sports Center	500,000	500,000	10,400,000	10,400,000	undetermined
Replace Duplicator	10,000	10,000			Equip Rep
Floor Lift	16,000				GF capital
1/2 Ton Pickup Truck	26,000				GF capital
Skate park	538,000	538,000			GF capital/ Elec Benefits
Lodi Lake Central Area Improvements			1,200,000	-	Financing
Cultural Services					
Community Center					
Replace Carpet-Senior Center			15,000	15,000	GF capital
Table & Chairs Replacement for Kirst Hall	43,660	20,000		20,000	GF Equip
Library					Lib Trust
Library HVAC and minor remodel	40,000	40,000	600,000	600,000	financing
Renovation & ADA Compliance	30,000	30,000	30,000	30,000	CDBG
Checkout Equipment	13,500	13,500			Lib Trust
Upgrade Computer System			270,000	270,000	grants
Workstations	10,000	10,000	100,000	-	Private sector trust for yr 1
Total Leisure, Cultural & Social Services	2,412,160	2,346,500	26,630,000	25,350,000	
Community & Economic Development					
Construction Development					
Building and Safety					
Microfiche Conversion to CD ROM	15,215	15,215			GF capital
Field Inspection Pickup Truck	24,400	24,400			GF capital
Engineering					
Purchase 1/2 Ton Pickup	30,000	30,000			Water/ Ww
Total Community & Economic Development	69,615	69,615	-	-	
General Government					
Non-Department					
Replace High Speed Copier	37,000	37,000			Equip Replacement
Civic Center Veterans' Monument					Funds approp in June 2001
City Clerk					
Purchase Image Scanner	4,000				Part of Info Systems Repl

2001-03 FINANCIAL PLAN & BUDGET

CAPITAL BUDGET DETAIL BY ACTIVITY

	2001-02 Requested Cost	City Manager Recommended	2002-03 Requested Cost	City Manager Recommended	Comments
Finance					
Replace scooter for Parking Enforcement			16,000	16,000	Equip Replacement
Replace Fork Lift-Purchasing			20,000	20,000	Equip Replacement
Information Systems					
Telephone System Upgrade	55,000	55,000			
LCD Projectors (6)	27,000	-			Part of Info Systems Repl
Replace City Hall Server	16,000	-			Part of Info Systems Repl
Information Systems Replacement	75,000	75,000	75,000	75,000	GF capital
JDE Consultant Services - HR Module	100,000	100,000			GF capital/Elec & w/ww
Organizational Support Services					
Meter Reader Vehicle	22,000	22,000			Elect
Public Works Facilities Services					
Carnegie Basement Remodel	100,000	100,000			GF Capital
City Hall Remodel	500,000	500,000			GF Capital
Vehicle for Const Proj Manager	22,000	22,000			GF Capital
Roof Replacement - Fire Station #3	26,570	26,570			GF Capital
HVAC replacement - Fire Station #3	39,000	39,000			GF capital/ Public Benefits
Roof Replacement - White Slough	22,000	22,000			WW
MSC Expansion- Shop/Offices			250,000	250,000	W/WW
Purchase One Pickup Truck	29,000	29,000			GF capital
Total General Government	1,074,570	1,027,570	361,000	361,000	
TOTAL CAPITAL BUDGET	28,538,920	28,317,260	68,312,160	67,021,160	
Current Debt Service:					
General Fund	1,275,205	1,275,205	1,267,895	1,267,895	
Electric Fund	1,391,435	1,391,435	1,391,435	1,391,435	
Water Fund	227,975	227,975	227,975	227,975	
Wastewater Fund	812,385	812,385	817,465	817,465	
TOTAL CAPITAL BUDGET & DEBT SERVICE	32,245,920	32,024,260	72,016,930	70,725,930	

This page intentionally left blank.

REGULAR POSITIONS

by

DEPARTMENT

City Manager

City Attorney

City Clerk

Community Center

Community Development

Economic Development

Electric Utility

Finance Department

Fire Department

Human Resources

Library

Parks & Recreation

Police Department

Public Works

2001-03 FINANCIAL PLAN AND BUDGET
REGULAR POSITIONS BY DEPARTMENT

	1999-00	2000-01	2001-02	2002-03
	Actual	Budget	Budget	Budget
CITY MANAGER				
City Manager	1.0	1.0	1.0	1.0
Data Processing Manager	1.0	1.0	1.0	1.0
Data Processing Programmer Analyst	1.0	1.0	1.0	1.0
Deputy City Manager	1.0	1.0	1.0	1.0
Information Systems Specialist	1.0	1.0	1.0	1.0
Information Systems Manager	1.0	1.0	1.0	1.0
Information Systems Coordinator	1.0	1.0	1.0	1.0
Management Analyst Trainee I/II	1.0	1.0	1.0	1.0
Network Administrator	1.0	1.0	1.0	1.0
Network Technician	0.0	1.0	1.0	2.0
Secretary to the City Manager	1.0	1.0	1.0	1.0
Senior Programmer Analyst	1.0	1.0	1.0	1.0
	<u>11.0</u>	<u>12.0</u>	<u>12.0</u>	<u>13.0</u>
CITY ATTORNEY				
City Attorney	1.0	1.0	1.0	1.0
Deputy City Attorney I/II	1.0	1.0	1.0	1.0
Legal Secretary	1.0	1.0	1.0	1.0
	<u>3.0</u>	<u>3.0</u>	<u>3.0</u>	<u>3.0</u>
CITY CLERK				
Administrative Clerk - confidential	1.0	0.0	0.0	1.0
City Clerk	1.0	1.0	1.0	1.0
Deputy City Clerk	1.0	2.0	2.0	2.0
	<u>3.0</u>	<u>3.0</u>	<u>3.0</u>	<u>4.0</u>
COMMUNITY CENTER				
Aquatics Supervisor	1.0	1.0	1.0	1.0
Administrative Secretary	1.0	1.0	1.0	1.0
Arts Coordinator	1.0	1.0	1.0	1.0
Arts Program Assistant	0.0	0.0	0.0	1.0
Community Center Director	1.0	1.0	1.0	1.0
Day Care Teacher	1.0	1.0	0.0	0.0
Facilities Maintenance Worker	2.0	2.0	2.0	2.0
Senior Services Coordinator	1.0	1.0	1.0	1.0
Sr. Facilities Maintenance Worker	1.0	1.0	1.0	1.0
Stage Technician	0.0	0.0	1.0	1.0
	<u>9.0</u>	<u>9.0</u>	<u>9.0</u>	<u>10.0</u>
COMMUNITY DEVELOPMENT				
Administrative Clerk	1.0	2.0	2.0	2.0
Administrative Secretary	1.0	1.0	1.0	1.0
Building Inspector I/II	3.0	3.0	3.0	3.0
Chief Building Inspector	1.0	1.0	1.0	1.0
City Planner	1.0	1.0	1.0	1.0
Community Development Director	1.0	1.0	1.0	1.0
Community Improvement Officer	1.0	2.0	2.0	2.0
Community Improvement Manager	1.0	1.0	1.0	1.0
Junior/Assistant/Associate Planner	2.0	2.0	2.0	2.0
Sr. Administrative Clerk	1.0	1.0	1.0	1.0
Senior Building Inspector	1.0	1.0	1.0	1.0
Senior Planner	1.0	1.0	1.0	1.0
	<u>15.0</u>	<u>17.0</u>	<u>17.0</u>	<u>17.0</u>
ECONOMIC DEVELOPMENT				
Economic Development Coordinator	1.0	1.0	1.0	1.0
	<u>1.0</u>	<u>1.0</u>	<u>1.0</u>	<u>1.0</u>

2001-03 FINANCIAL PLAN AND BUDGET
REGULAR POSITIONS BY DEPARTMENT

	1999-00	2000-01	2001-02	2002-03
	Actual	Budget	Budget	Budget
ELECTRIC UTILITY DEVELOPMENT				
Administrative Clerk	3.0	2.0	2.0	2.0
Administrative Secretary	1.0	1.0	1.0	1.0
Construction/Maintenance Supervisor	1.0	1.0	1.0	1.0
Electric Apparatus Mechanic	1.0	1.0	1.0	1.0
Electric Foreman/Forewoman	3.0	3.0	4.0	4.0
Electric Lineman/Linewoman	9.0	9.0	10.0	10.0
Electric Lineman/Linewoman (Apprentice)	0.0	0.0	0.0	1.0
Electric Meter Technician	1.0	1.0	1.0	1.0
Electric System Supervisor	1.0	1.0	1.0	1.0
Electric Troubleshooter	2.0	2.0	2.0	2.0
Electric Utility Director	1.0	1.0	1.0	1.0
Electric Utility Rate Analyst	1.0	1.0	1.0	1.0
Electric Utility Superintendent	1.0	1.0	1.0	1.0
Electrical Drafting Technician	1.0	1.0	1.0	1.0
Electrical Engineer	1.0	1.0	1.0	1.0
Electrical Estimator	2.0	3.0	3.0	3.0
Electrical Technician	2.0	2.0	2.0	2.0
Electrician	2.0	2.0	2.0	2.0
Manager Business Plan & Marketing	1.0	1.0	1.0	1.0
Manager Electric Services	1.0	1.0	1.0	1.0
Manager Engineering & Operations	1.0	1.0	1.0	1.0
Manager, Rates & Resources	1.0	1.0	1.0	1.0
Metering Electrician	1.0	1.0	1.0	1.0
Senior Electric Troubleshooter	1.0	1.0	1.0	1.0
Senior Electric Utility Rate Analyst	1.0	1.0	1.0	1.0
Senior Electrical Estimator	1.0	1.0	1.0	1.0
Sr. Administrative Clerk	0.0	1.0	1.0	1.0
Utility Equipment Apecialist	0.0	0.0	1.0	1.0
Utility Operations Supervisor	1.0	1.0	1.0	1.0
Utility Service Operator I/II	3.0	3.0	3.0	3.0
Utility Service Operator I/II - Relief	1.0	1.0	1.0	1.0
	46.0	47.0	50.0	51.0
only three positions will be filled at any one time				
FINANCE DEPARTMENT				
Accountant I/II	2.0	2.0	2.0	3.0
Accounting Clerk I/II	11.0	3.0	3.0	4.0
Accounting Manager	1.0	1.0	1.0	1.0
Accounts Collector	1.0	0.0	0.0	0.0
Buyer	1.0	1.0	1.0	1.0
Customer Service and Revenue Manager	1.0	1.0	1.0	1.0
Customer Service Representative I/II	0.0	7.0	7.0	9.0
Customer Service Supervisor	1.0	1.0	1.0	1.0
Field Service Representative	0.0	3.0	3.0	3.0
Field Service Supervisor	0.0	1.0	1.0	1.0
Finance Technician	2.0	3.0	3.0	3.0
Finance Director	1.0	1.0	1.0	1.0
Meter Reader	4.0	2.0	3.0	3.0
Parking Enforcement Assistant	1.0	1.0	1.0	1.0
Purchasing Technician	1.0	1.0	1.0	1.0
Purchasing Officer	1.0	1.0	1.0	1.0
Senior Customer Service Representative	2.0	3.0	3.0	3.0
Senior Storekeeper	1.0	1.0	1.0	1.0
Storekeeper	1.0	1.0	1.0	1.0
Support Services Supervisor	1.0	0.0	0.0	0.0

2001-03 FINANCIAL PLAN AND BUDGET**REGULAR POSITIONS BY DEPARTMENT**

	1999-00	2000-01	2001-02	2002-03
	Actual	Budget	Budget	Budget
	33.0	34.0	35.0	39.0
FIRE DEPARTMENT				
Administrative Secretary	1.0	1.0	1.0	1.0
Fire Battalion Chief	3.0	3.0	4.0	4.0
Fire Captain	9.0	12.0	12.0	12.0
Fire Chief	1.0	1.0	1.0	1.0
Fire Division Chief	2.0	2.0	2.0	2.0
Fire Inspector	1.0	1.0	1.0	1.0
Fire Engineer	7.0	22.0	16.0	16.0
Firefighter I/II	25.0	9.0	17.0	20.0
Sr. Administrative Clerk	1.0	1.0	1.0	1.0
	50.0	52.0	55.0	58.0
HUMAN RESOURCES				
Administrative Clerk - confidential	1.0	2.0	1.0	1.0
Administrative Secretary - confidential	0.0	0.0	1.0	1.0
Human Resources Director	1.0	1.0	1.0	1.0
Human Resources Technician	1.0	1.0	1.0	1.0
Management Analyst Trainee I/II	0.0	1.0	1.0	1.0
Risk Manager	1.0	0.0	0.0	0.0
Senior Human Resources Analyst	1.0	1.0	1.0	1.0
	5.0	6.0	6.0	6.0
LIBRARY				
Administrative Clerk	0.0	0.0	0.0	1.0
Children's Librarian	1.0	0.0	0.0	0.0
Librarian I/II	2.0	3.0	3.0	3.0
Library Assistant	7.0	6.0	6.0	6.0
Library Services Director	1.0	1.0	1.0	1.0
Senior Library Assistant	2.0	3.0	3.0	3.0
Supervising Librarian	1.0	1.0	1.0	1.0
	14.0	14.0	14.0	15.0
PARKS & RECREATION DEPARTMENT				
Administrative Clerk	1.0	1.0	1.0	2.0
Administrative Secretary	1.0	1.0	1.0	1.0
Laborer/Park Maintenance Worker I/II	7.0	8.0	8.0	12.0
Management Analyst Trainee I/II	0.0	1.0	1.0	1.0
Park Maintenance Worker III	5.0	5.0	5.0	5.0
Park Project Coordinator	1.0	1.0	1.0	1.0
Parks Program Coordinator	1.0	1.0	1.0	1.0
Parks & Recreation Director	1.0	1.0	1.0	1.0
Parks Superintendent	1.0	1.0	1.0	1.0
Parks Supervisor	2.0	2.0	2.0	2.0
Recreation Supervisor	4.0	4.0	4.0	4.0
Sr. Administrative Clerk	1.0	1.0	1.0	1.0
Senior Facilities Maintenance Worker	1.0	1.0	1.0	1.0
Welder-Mechanic	1.0	1.0	1.0	1.0
	27.0	29.0	29.0	34.0
POLICE DEPARTMENT				
Administrative Secretary	1.0	1.0	1.0	1.0
Animal Services Officer	1.0	1.0	1.0	1.0
Assistant Animal Services Officer	1.0	2.0	2.0	2.0
Community Service Officer	4.0	4.0	3.0	3.0

2001-03 FINANCIAL PLAN AND BUDGET

REGULAR POSITIONS BY DEPARTMENT

	1999-00	2000-01	2001-02	2002-03
	Actual	Budget	Budget	Budget
Dispatcher/Jailer	15.0	15.0	16.0	16.0
Dispatcher Supervisor	2.0	2.0	2.0	2.0
Lead Dispatcher/Jailer	2.0	2.0	2.0	2.0
Management Analyst Trainee I/II	1.0	1.0	1.0	1.0
Police Captain	2.0	2.0	2.0	2.0
Police Chief	1.0	1.0	1.0	1.0
Police Corporal	6.0	8.0	8.0	8.0
Police Lieutenant	6.0	6.0	6.0	6.0
Police Officer	53.0	51.0	52.0	52.0
Police Records Clerk I/II	5.0	5.0	5.0	5.0
Police Records Clerk Supervisor	1.0	1.0	1.0	1.0
Police Sergeant	9.0	9.0	9.0	9.0
Police Volunteer Supervisor	1.0	1.0	1.0	1.0
Sr. Police Records Clerk	2.0	2.0	2.0	2.0
	<u>113.0</u>	<u>114.0</u>	<u>115.0</u>	<u>115.0</u>

PUBLIC WORKS DEPARTMENT

ADMINISTRATION

Administrative Clerk	1.0	2.0	2.0	2.0
Administrative Secretary	1.0	1.0	1.0	1.0
Management Analyst Trainee I/II	1.0	1.0	1.0	1.0
Public Works Director	1.0	1.0	1.0	1.0
	<u>4.0</u>	<u>5.0</u>	<u>5.0</u>	<u>5.0</u>

ENGINEERING DIVISION

City Engineer	1.0	1.0	1.0	1.0
Engineering Tech Supervisor	2.0	0.0	0.0	0.0
Engineering Technician I/II	1.0	1.0	2.0	2.0
Jr./Assistant Engineer/Associate Civil Engineer	3.0	3.0	2.0	3.0
Public Works Inspector I/II	2.0	2.0	2.0	2.0
Senior Civil Engineer	2.0	2.0	2.0	2.0
Senior Engineering Technician	2.0	4.0	4.0	4.0
Senior Traffic Engineer	0.0	0.0	1.0	1.0
	<u>13.0</u>	<u>13.0</u>	<u>14.0</u>	<u>15.0</u>

FLEET & FACILITIES

Administrative Clerk	1.0	1.0	1.0	1.0
Construction Project Manager	0.0	0.0	1.0	1.0
Equipment Maintenance Supervisor	1.0	1.0	1.0	1.0
Equipment Service Worker	1.0	1.0	2.0	2.0
Facilities Maintenance Worker	2.0	2.0	2.0	2.0
Facilities Supervisor	0.0	0.0	1.0	1.0
Fleet & Facilities Manager	1.0	1.0	1.0	1.0
Heavy Equipment Mechanic	5.0	4.0	4.0	4.0
Lead Equipment Mechanic	1.0	2.0	2.0	2.0
Parts Clerk	1.0	1.0	1.0	1.0
Senior Facilities Maintenance Worker	1.0	1.0	1.0	1.0
Welder-Mechanic	1.0	1.0	1.0	1.0
	<u>15.0</u>	<u>15.0</u>	<u>18.0</u>	<u>18.0</u>

STREETS DIVISION

Laborer/Maintenance Worker I/II	12.0	13.0	13.0	13.0
Office Supervisor	1.0	1.0	1.0	1.0
Sr. Administrative Clerk	1.0	1.0	1.0	2.0
Street Cleanup Worker I/II	1.0	1.0	1.0	1.0
Street Maintenance Worker III	7.0	7.0	8.0	8.0

2001-03 FINANCIAL PLAN AND BUDGET
REGULAR POSITIONS BY DEPARTMENT

	1999-00	2000-01	2001-02	2002-03
	Actual	Budget	Budget	Budget
Street Superintendent	1.0	1.0	1.0	1.0
Street Supervisor	3.0	3.0	3.0	3.0
Street Contracts Administrator	0.0	1.0	1.0	1.0
Tree Operations Supervisor	1.0	1.0	1.0	1.0
	<u>27.0</u>	<u>29.0</u>	<u>30.0</u>	<u>31.0</u>
WATER/WASTEWATER DIVISION				
Assistant Wastewater Treatment Superintendent	1.0	1.0	1.0	1.0
Assistant Water/Wastewater Superintendent	1.0	1.0	1.0	1.0
Chief Wastewater Plant Operator	1.0	1.0	1.0	1.0
Environmental Compliance Inspector	2.0	2.0	2.0	2.0
Laboratory Services Supervisor	1.0	1.0	1.0	1.0
Laboratory Technician I/II	2.0	2.0	2.0	2.0
Laborer/Maintenance Worker I/II	7.0	7.0	7.0	7.0
Plant & Equipment Mechanic	3.0	4.0	4.0	4.0
Public Works Inspector I/II	1.0	1.0	1.0	1.0
Senior Civil Engineer	1.0	1.0	1.0	1.0
Senior Engineering Technician	1.0	1.0	1.0	1.0
Senior Plant & Equipment Mechanic	2.0	2.0	2.0	2.0
Wastewater Plant Operator I/II	6.0	6.0	6.0	6.0
Water Conservation Officer	1.0	1.0	1.0	1.0
Water/Wastewater Maintenance Worker III	5.0	5.0	5.0	5.0
Water/Wastewater Superintendent	1.0	1.0	1.0	1.0
Water/Wastewater Supervisor	3.0	3.0	3.0	3.0
	<u>39.0</u>	<u>40.0</u>	<u>40.0</u>	<u>40.0</u>
TRANSPORTATION & COMMUNICATIONS				
Transportation Manager	1.0	1.0	1.0	1.0
	<u>1.0</u>	<u>1.0</u>	<u>1.0</u>	<u>1.0</u>
Total Public Works	<u>99.0</u>	<u>103.0</u>	<u>108.0</u>	<u>110.0</u>
TOTAL REGULAR POSITIONS	429.0	444.0	457.0	476.0

APPROPRIATION SPENDING LIMIT

2002-03 FINANCIAL PLAN AND BUDGET**APPROPRIATIONS SPENDING LIMIT****2002-03 APPROPRIATIONS SPENDING LIMIT**

				Amount
Last Year's Limit				56,959,824
Adjustment Factors				
1	Population %	1.0214		
2	Inflation %	0.9873		
Total Adjustment %				1.0084
Annual Adjustment				480,070
Adjustments				None
Total Adjustments				480,070
2001-02 APPROPRIATIONS SPENDING LIMIT				57,439,894

2002-03 APPROPRIATIONS SUBJECT TO LIMITATION

		AMOUNT
PROCEEDS OF TAXES		28,013,113
EXCLUSIONS		
APPROPRIATIONS SUBJECT TO LIMITATION		28,013,113
CURRENT YEAR LIMIT		57,439,894
OVER(UNDER) LIMIT		(29,426,780)

RESOLUTION NO. 2002-141

A RESOLUTION OF THE LODI CITY COUNCIL APPROVING
DOWNTOWN LODI BUSINESS PARTNERSHIP (DLBP)
FUNDING FROM THE 2001-02 CONTINGENCY FUND, AND
FURTHER DIRECTING STAFF TO ISSUE COLLECTION
LETTERS TO PAST DEBTORS

=====

NOW, THEREFORE, BE IT RESOLVED, that the Lodi City Council hereby approves funding to the Downtown Lodi Business Partnership (DLBP) in the amount of \$39,000.00 to be used for banners and \$14,000.00 for past DLBP collection services to be paid out of the 2001-02 Contingency Fund; and

BE IT FURTHER RESOLVED, that the City Council hereby directs staff to issue collection letters to past debtors.

Dated: June 19, 2002

=====


I hereby certify that Resolution No. 2002-141 was passed and adopted by the City Council of the City of Lodi in a regular meeting held June 19, 2002, by the following vote:

AYES: COUNCIL MEMBERS – Hitchcock, Land, Nakanishi, and Mayor Pennino

NOES: COUNCIL MEMBERS – Howard

ABSENT: COUNCIL MEMBERS – None

ABSTAIN: COUNCIL MEMBERS – None



SUSAN J. BLACKSTON
City Clerk

CITY COUNCIL

PHILLIP A. PENNINO, Mayor
SUSAN HITCHCOCK
Mayor Pro Tempore
EMILY HOWARD
KEITH LAND
ALAN S. NAKANISHI

CITY OF LODI

CITY HALL, 221 WEST PINE STREET
P.O. BOX 3006
LODI, CALIFORNIA 95241-1910
(209) 333-6702
FAX (209) 333-6807
cityclrk@lodi.gov

H. DIXON FLYNN
City Manager
SUSAN J. BLACKSTON
City Clerk
RANDALL A. HAYS
City Attorney

June 20, 2002

Mr. Lew Van Buskirk
Executive Director
Downtown Lodi Business Partnership
P.O. Box 1565
Lodi, CA 95241

**RE: RESOLUTION APPROVING DLBP FUNDING FROM THE 2001-02
CONTINGENCY FUND AND DIRECTING STAFF TO ISSUE
COLLECTION LETTERS TO PAST DEBTORS**

Enclosed is a certified copy of the Resolution approving DLBP funding in the amount of \$39,000 for banners and \$14,000 for past DLBP collection services (to be paid out of the 2001-02 Contingency Fund) and directing staff to issue collection letters to past debtors, which was approved by the Lodi City Council on June 19, 2002.

Should you have any questions, please feel free to contact my office.

Sincerely,



Susan J. Blackston
City Clerk

JMP

Enclosure

cc: Economic Development Coordinator
Finance Department

RESOLUTION NO. 2002-142

A RESOLUTION OF THE LODI CITY COUNCIL ADOPTING THE 2002-2003 OPERATING BUDGET FOR THE FISCAL YEAR BEGINNING JULY 1, 2002 AND ENDING JUNE 30, 2003, AND FURTHER APPROVING THE 2002-2003 APPROPRIATION SPENDING LIMIT

=====

WHEREAS, the City Manager submitted the 2002-2003 Operating Budget to the City Council on June 19, 2002; and

WHEREAS, the 2002-2003 Operating Budget was prepared in accordance with the City Council's goals, budget assumptions, and policies; and

WHEREAS, the City Council conducted budget meetings on February 5, 2002 (Shirtsleeve Session), March 26, April 2, April 23, May 7, May 28, and June 11, 2002 at the Carnegie Forum; and

WHEREAS, the 2002-2003 budget is balanced and does not require additional taxes or fees; and

WHEREAS, the City Council is required to adopt the Appropriations Spending Limit; and

WHEREAS, the Appropriations Spending Limit and the annual adjustment factors selected to calculate the Limit are part of the Financial Plan and Budget.

NOW, THEREFORE, BE IT RESOLVED by the City Council of the City of Lodi, as follows:

1. That the 2002-2003 Operating (including the Appropriations Spending Limit) as proposed by the City Manager and amended by the City Council is adopted; and
2. That the funds for the 2002-2003 Operating Budget are appropriated as summarized in the document on file in the City Clerk's Office.

Dated: June 19, 2002

=====

I hereby certify that Resolution No. 2002-142 was passed and adopted by the City Council of the City of Lodi in a regular meeting held June 19, 2002, by the following vote:

AYES: COUNCIL MEMBERS – Hitchcock, Howard, Land, Nakanishi, and Mayor Pennino

NOES: COUNCIL MEMBERS – None

ABSENT: COUNCIL MEMBERS – None

ABSTAIN: COUNCIL MEMBERS – None



SUSAN J. BLACKSTON
City Clerk

RESOLUTION NO. 2002-143

A RESOLUTION OF THE LODI CITY COUNCIL ADOPTING THE 2002-2003
CAPITAL IMPROVEMENT BUDGET FOR THE FISCAL YEAR BEGINNING
JULY 1, 2002 AND ENDING JUNE 30, 2003

=====

WHEREAS, the City Manager submitted the 2002-2003 Capital Improvement Budget to the City Council on June 19, 2002; and

WHEREAS, the 2002-2003 Capital Improvement Budget was prepared in accordance with the City Council's goals, budget assumptions, and policies; and

WHEREAS, the City Council conducted budget meetings on February 5, 2002 (Shirtsleeve Session), March 26, April 2, April 23, May 7, May 28, and June 11, 2002 at the Carnegie Forum; and

WHEREAS, the 2002-2003 budget is balanced and does not require additional taxes or fees.

NOW, THEREFORE, BE IT RESOLVED by the City Council of the City of Lodi, as follows:

1. That the 2002-2003 Capital Improvement Budget as proposed by the City Manager and amended by the City Council is adopted; and
2. That the funds for the 2002-2003 Capital Improvement Budget are appropriated to a Capital Control Account for further allocation by the City Council on a project-by-project and purchase-by-purchase action.

Dated: June 19, 2002

=====

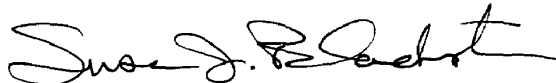
I hereby certify that Resolution No. 2002-143 was passed and adopted by the City Council of the City of Lodi in a regular meeting held June 19, 2002, by the following vote:

AYES: COUNCIL MEMBERS – Howard, Land, Nakanishi, and Mayor Pennino

NOES: COUNCIL MEMBERS – Hitchcock

ABSENT: COUNCIL MEMBERS – None

ABSTAIN: COUNCIL MEMBERS – None



SUSAN J. BLACKSTON
City Clerk

Explanation of blue sheets:

At last Tuesday shirtsleeve presentation several changes were discussed:

- proceeds from debt financing - this now represents only Electric Utility projects -
 - the \$19.6m was combined with the \$23.756m under other sources (uses) line item
- separate line item for infrastructure replacement - will be shown starting with next two year budget
- capital outlay - increased fund balance to show reserve held on the current COP's
- capital outlay - Elec new building and Elec Corp yard - duplication was corrected
- capital outlay - Lib HVAC & minor remodel - submitted by both Lib and PW - duplication was corrected

those changes were made to the document included with council packet however page 1

titled "Your City's budget for services in 2002-03" was not updated -- prepared blue sheet with corrections

Also blue sheets on information requested by various Councilmembers for tonight.

- Detail on Other Sources & Uses line

- Listing of projects that make up undetermined finding source line item

- Detail on debt service line item

- Current status of projects

Also have blue sheet of one overhead that I will be using & one for the capital budget policy for your reference.

Sorry for any inconvenience.



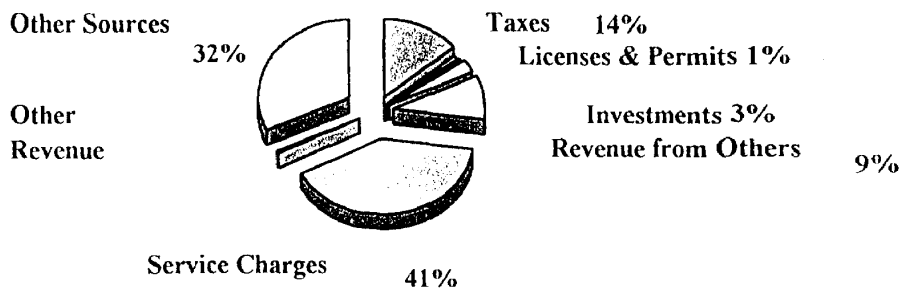
YOUR CITY'S BUDGET FOR SERVICES IN 2002-03

June 4-11-02
I-6

MILLIONS

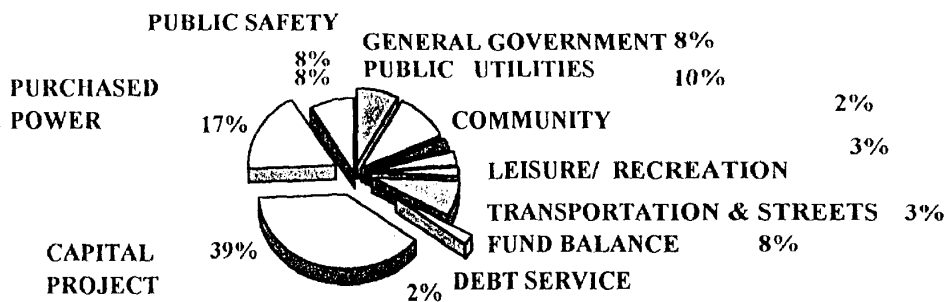
SOURCES CAME FROM:

TAXES	\$	24.291
LICENSES & PERMITS		1.656
FINES & FORFEITURES		0.761
INVESTMENTS		5.165
REVENUES FROM OTHERS		15.881
SERVICE CHARGES		71.007
OTHER REVENUE		0.854
OTHER SOURCES		56.008
TOTALS	\$	<u>175.623</u>



EXPENDITURES BUDGETED

PUBLIC SAFETY	\$	13.492
PUBLIC UTILITIES		18.154
TRANSPORTATION/STREETS		4.662
LEISURE/RECREATION		5.263
COMMUNITY DEVELOPMENT		3.333
GENERAL GOVERNMENT		13.651
DEBT SERVICE		3.899
CAPITAL PROJECTS		68.136
PURCHASED POWER		30.551
OTHER PURCHASES		0.526
FUND BALANCE		13.956
TOTALS	\$	<u>175.623</u>



OTHER SOURCES AND USES

	2002-03
General Fund	
Carry over from 2001-02	630,000
value of e step vs actual/vacancies	657,500
misc rev	650,000
	<u>1,937,500</u>
Library	
Grants to fund Computer System Upgrade	270,000
Other Sources to Fund Library HVAC/minor remodel	600,000
	<u>870,000</u>
Streets	
Reimbursement for Street Projects - various	<u>669,351</u>
Transportation	
Reimbursement from TDA	<u>7,900</u>
Capital	
Fire grant to fund rescue trailer and equipment	34,000
Police grant to fund Outdoor Range	400,000
Undetermined Funding Source for projects	42,783,000
Repayment of Loan - Water IMF	(33,000)
	<u>43,184,000</u>
Wastewater - funding for White Slough WPCF	<u>2,500,000</u>
Water Repayment of Loan - Fire IMF	<u>33,000</u>
Benefits Fund	490,371
Self-insurance Funds	(490,371)
	<u>-</u>
Transit	
Reimbursements from FTA/TDA	<u>458,999</u>
Grand Total	49,660,750

Undetermined Funding Source for Projects:

Public Safety Building	4,538,000
Old Public Safety Building Remodel	3,100,000
Animal Shelter	3,880,000
Fire Station 2 remodel	1,000,000
De Benedetti Park Development	7,090,000
Civic Center Parking Structure	6,000,000
Aquatics Center	6,775,000
Indoor Sports Center	10,400,000
	<hr/>
	42,783,000

Debt Service:

Debt Service Fund (GF)	1,267,895
Water Fund	227,975
Wastewater Fund	817,465
Electric Fund	1,391,435
Trust and Agency Fund	<u>194,323</u>
	3,899,093

Current Status of Projects:

Police Building	construction
Old Public Safety Building Remodel	concept plan
Animal Shelter	concept plan
Police Fire Range	pre concept plan
Fire Station #2 remodel	concept plan
Indoor Sports Center	schematic design
Aquatics Center	schematic design
DeBenedetti Park Development	schematic design
Civic Center Parking Structure	concept plan

2001-03 FINANCIAL PLAN AND BUDGET

CAPITAL IMPROVEMENT BUDGET

OVERVIEW

All construction projects and capital purchases which cost more than \$10,000 are included in the Capital Improvement Budget. Purchases of vehicles and equipment on an equipment replacement schedule are purchased by the Equipment Replacement Fund. Minor capital purchases of less than \$10,000 are included with the Activity Budgets. Through the Capital Improvement Budget and Capital Improvement Plan, the City systematically plans, schedules and finances capital projects to ensure conformance with City policies and funding sources. The Capital Improvement Budget is a four-year plan organized by mission.

Public Safety

Leisure, Cultural, and Social Services

Public Utilities

Community and Economic Development

Transportation

General Government

The Capital Improvement Budget emphasizes project planning, with projects progressing through at least two and up to six of the following phases:

1. **Designate.** Appropriates funds to the Control Account based on projects designated for funding by the Council through adoption of the Financial Plan and Budget.
2. **Study.** Includes concept design, site selection, feasibility analysis, schematic design, environmental determination, property appraisals, scheduling, grant application, grant approval, and preparation of specifications for equipment purchases.
3. **Acquisition.** Includes equipment purchases and property acquisition for projects, if necessary.
4. **Design.** Includes final design, plan and specification preparation, and construction cost estimation.
5. **Construction.** Includes bid administration, construction, project inspection and management and closeout.
6. **Debt Service.** Includes installment payments of principal and interest for completed projects funded through debt financing. Expenditures for this project phase are included in the Debt Service section.

Generally, it will become more difficult for a project to move from one phase to the next. As such, more projects will be studied than designed, and more projects will be designed than constructed.

ORGANIZATION

The Capital Improvement Budget consists of four parts:

1. Seven Year Capital Budget Plan – General Fund (Sources & Uses) Annual Financial Plan
2. Seven Year Capital Budget Plan – General Fund (Sources & Uses) Capital Project List
3. Summary of Capital Improvement Budget By Mission
4. Summary of Expenditures by Activity
5. Write up of Budget Request
6. Summary of Replacement Vehicles

2001-03 FINANCIAL PLAN AND BUDGET

PROJECT EXPENDITURES BY SOURCE - OVERVIEW

CAPITAL APPROPRIATION POLICY

The City's annual appropriation for capital projects is based on the projects designated by the City Council through adoption of the Financial Plan. Except for capital maintenance projects, adoption of the projects listed in the Financial Plan does not automatically allocate funding for specific projects. The appropriation is made to a Capital Control Account and held there pending specific authorization to release and appropriate funds to a Project Account. This authorization generally occurs only after the preceding project phase has been completed and approved by the Council and the costs for the succeeding phases have been fully developed. Accordingly, project appropriations for acquisitions and construction are generally made when contracts are awarded.

Capital project appropriations to the Capital Control Account, which represent the funds not allocated to a specific project, lapse after three years. Projects which lapse from lack of project account allocations may be resubmitted. Project accounts which have been allocated funds will not lapse until the project is completed. All project appropriations should generally come from the Capital Control Account, as such, no adjustments to the Capital Budget appropriation will be required even if the project costs are greater than original estimates.

This approach has several advantages and disadvantages:

Advantages:

- Ensures that project appropriations are based on realistic cost estimates and that funding is committed only after a significant milestone is achieved, such as contract award
- Avoids the overstatement of project funding for projects which may be canceled or deferred
- Ensures that projects are not initiated until adequate study or design has been completed
- Avoids financial commitments to projects before required grants, agreements or other external funding is secured
- Avoids overstatement of capital funding needs based on actual expenditure levels which historically have been less than budgeted amounts

Disadvantage:

- A few high priority projects may be deferred due to the "first come, first serve" approach to project appropriations

Based on historical cash flow and expenditure patterns, the probability of such deferrals is considered minimal. Further the benefits associated with this approach far outweighs the possible disadvantage of deferring higher priority projects. This policy encourages ongoing project planning and management by requiring each phase to be reviewed and approved on a step-by-step basis, ensuring that projects are well conceived, designed and implemented.

FUND	BEGINNING BALANCE	REVENUES	EXPENDITURES	OTHER SOURCES & (USES)	ENDING BALANCE
General Fund	4,470,178	30,000,682	31,607,835	1,609,604	4,472,629
Library Fund	620,146	1,532,693	2,079,740	706,860	779,959
Street Fund	858,842	7,586,540	8,518,500	73,118	-
Transportation Developn	60,537	2,005,000		(2,065,537)	-
CDBG Fund		975,000	865,691	(109,309)	-
Police Special Revenue	200,608	31,105			231,713
Capital Outlay Fund	10,059,335	4,481,449	43,506,000	43,291,105	14,325,889
Equipment Fund			20,000	20,000	-
Debt Service Fund			1,267,895	1,267,895	-
Water Fund	1,219,462	6,650,965	3,715,755	(756,720)	3,397,952
Wastewater Fund	2,218,156	7,220,083	7,751,455	1,627,589	3,314,373
Electric Fund	8,798,605	53,844,027	54,015,477	6,895,221	15,522,375
Transit Fund	-	1,212,390	2,479,751	1,267,361	-
Benefits Fund	(539,239)	3,337,306	3,646,325	848,258	-
Self Insurance Fund	3,052,706	516,810	1,557,545	917,424	2,929,395
Vehicle Replacement Fu	276,189		441,000	415,000	250,189
Trust and Agency Fund	1,054,987	220,312	194,323		1,080,976
TOTAL	32,350,509	119,614,362	161,667,292	56,007,868	46,305,447

filed 6-19-02
(200)



FITCH IBCA, DUFF & PHELPS

December 20, 2001

Ms. Vicky McAthie, Finance Director
City of Lodi, CA
Lodi Finance Department
212 West Pine Street
Lodi, California 95241

Re: City of Lodi, CA
\$30,470,000
Certificates of Participation
(2002 Public Improvement Financing Project)

Dear Ms. McAthie:

Fitch has assigned a rating of "A+" to the above referenced issue.

Ratings assigned by Fitch are based on the documents and information provided to us by City of Lodi, CA, its experts and agents, and are subject to receipt of the final closing documents. Fitch does not audit or verify the truth or accuracy of such information.

It is important that Fitch be provided with all information that may be material to the rating so that our rating continues to accurately reflect the current condition of the issue. Ratings may be changed, withdrawn, suspended or placed on Rating Watch due to changes in, additions to or the inadequacy of information.

Ratings are not recommendations to buy, sell or hold securities. Ratings do not comment on the adequacy of market price, the suitability of any security for a particular investor, or the tax-exempt nature or taxability of payments made in respect of any security.

We are pleased to have had the opportunity to be of service to you. If we can be of further assistance, please feel free to contact us at any time.

Sincerely,

A handwritten signature in cursive script, appearing to read "Richard P. Larkin".

Richard P. Larkin
Managing Director
Public Finance

RPL/em
cc: Alex Burnett - Public Financial Management, Inc.
George Wolf - Salomon Smith Barney

Tax Supported New Issue

City of Lodi, California

Rating

Certificates of Participation
(2002 Public Improvement
Financing Project)..... A+

Analysts

Mark J. Capell
1 415 732-5622
mark.capell@fitchratings

Scott A. Andreson
1 415 732-5620
scott.andreson@fitchratings.com

Issuer Contact

Vicky McAthie
Finance Director/Treasurer
1 209 333-6761 ext. 2618

New Issue Details

\$30,470,000 Certificates of Participation (2002 Public Improvement Financing Project) are scheduled to sell on or during the week of Jan. 14 via negotiation by Salomon Smith Barney. The certificates mature beginning Oct. 1, 2002-2031 and are subject to optional redemption on or after Oct. 1, 2010 beginning at 101% of par.

Security: Certificates are secured by lease payments from the City of Lodi to the trustee as assignee of the lessor. The city covenants to annually budget and appropriate lease payments. Security also includes a debt service reserve equal to the lesser of maximum annual debt service, 125% of average annual debt service, or 10% of bond par.

Purpose: Proceeds will be used primarily to finance a new public safety building, as well as a portion of the costs associated with the renovation of the old public safety building, a new parking structure, and a park.

■ Outlook

The 'A+' rating reflects Lodi's (the city) good financial position, low debt burden, and healthy, though somewhat concentrated, economy. The rating also incorporates the solid lease structure and the city's excellent management policies and practices. The city's financial position is characterized by adequate reserve levels, diverse general fund revenues, and conservative multiyear budgeting, along with prudent long-term planning. The local area economy is heavily reliant on agriculture, with some concentration in its established and growing wine-grape industry. However, recent industrial and residential development should continue to benefit the city's overall economic position.

■ Rating Considerations

Lodi is located in California's Central Valley approximately 34 miles south of Sacramento and 90 miles east of San Francisco. The regional economy is based primarily in agricultural products, including winegrapes, nuts, fruit, and milk; the Lodi area is one of the largest and most productive winegrape regions in the U.S. As a result, the city economy includes a substantial food processing industry in addition to other basic local services. Population growth is considerably slower than the county and surrounding communities given city growth control ordinances. Unemployment rates declined steadily from over 10% in the early 1990s to 6.5% in 2000, well below the county's 8.8% but above state and national averages. Assessed valuations have risen moderately during the past decade, with somewhat larger gains in recent years, including a 6.9% rise in 2001.

Approximately \$16.8 million of certificate proceeds will be used, along with \$4.5 million in city contributions and \$4.2 million from state grants, to finance a new public safety facility, renovations, and improvements to the city's old public safety center, a park, and a new parking structure. The projects are all strategically located around the city's revitalized central downtown district. Construction on all projects is expected to be completed by 2005. The remaining \$13.7 million will be used to refund the city's only outstanding bonded debt.

Certificates are secured via a standard lease-leaseback transaction between the city and the Lodi Public Improvement Corporation (the corporation). The leased assets securing the certificates are essential city facilities with a combined value slightly exceeding certificate par. The city has covenanted to budget and appropriate lease payments equal to debt service, subject to abatement.

The city's financial position is good, with adequate reserve levels and diverse sources of general fund revenue. Prudent long-term planning, along with strong management policies and practices, also protects the city's fiscal health. In fiscal 2001, the general fund ended with a

December 27, 2001

\$3.9 million fund balance, including a \$3.4 million unreserved undesignated balance equal to 10% of spending. General fund revenues are diverse and include property, sales and use, and in-lieu franchise taxes from the city's electric utility. Annually, the city adopts a two-year financial plan/budget along with a rolling seven-year capital plan.

Debt levels remain low, though somewhat higher, as a result of this certificate sale. Capital improvements are financed through pay-as-you-go spending, partially from a 30% set-aside of the city's share of property taxes, and one-time revenues. Major additional capital needs are minimal.

■ Strengths

- Strong financial position marked by healthy reserves and diverse revenues.
- Sound lease structure.
- Manageable debt burden with limited capital needs.
- Excellent management and accounting policies and practices, including multiyear budgeting and a seven-year capital plan.

■ Risks

- Economy concentrated in agriculture.
- Unemployment above and income levels below state and national averages.

■ Project and Lease Transaction

The transaction is structured as a standard lease-leaseback between the city and the corporation. According to the site and facilities lease, the city is leasing assets with a combined value of \$32.2 million to the corporation for \$1. Under the lease, the city has agreed to lease-back the assets (plus any improvements) from the corporation. City lease payments are equal to debt service on the certificates. Such lease payments constitute fair rental value for the city's use and occupancy of the leased assets. The corporation has assigned its rights under the lease, including the right to receive city lease payments, to the trustee pursuant to the assignment agreement between the corporation and the trustee. The city covenants to budget and appropriate annual lease payments equal to debt service payments, subject to abatement.

Under the lease, the city is required to provide insurance equal to 100% of the asset's replacement value. In the event of damage, the city may repair the leased facilities or use insurance proceeds to redeem certificates. Rental interruption insurance also is required, equal to two

years' lease payments. The city may substitute property provided that it is of equal or greater value and is an essential asset. The lease is triple-net.

The leased assets represent six essential city facilities. The assets include: Hutchins Street Square, a large community center, including a pool, senior center, and performing arts theater (valued at \$16.8 million); the city hall and Carnegie Forum, a city building adjacent to city hall (\$7.3 million); the old public safety building (\$6.7 million); fire station No. 2 (\$710,000); and fire station No. 3 (\$515,000).

Following the sale, certificate proceeds will be held by the trustee and disbursed per the trust agreement as requested by the city for costs of issuance, project expenses, and prepayment of the outstanding 1995 and 1996 certificates. The refunding of series 1995 and 1996 certificates is expected to generate approximately \$670,000 in net present value savings.

The 2002 project includes a major public safety project and new park. The city has so far set aside \$3.0 million in cash with an additional \$1.5 million budgeted to help offset the 2002 project costs. The new police facility includes a 54,000-square-foot, two-story building to house police operations, a 5,600-square-foot jail, a dispatch center, 10,000 square feet of expansion space, and 100 secure parking spaces for police vehicles. The site is located across from the existing police facilities in downtown Lodi. Construction, budgeted at \$12.0 million, is expected to begin in April 2002. Renovation of the existing facility will commence upon completion of the new facility. The remodeled facility will house Fire Station No. 1, fire administrative offices, storage, and other government offices. The budget for the remodeled project is \$2.5 million. The public safety project also includes a 250-stall parking structure. Construction is anticipated to begin in late 2002 at a cost of \$5.5 million. The city has received a state grant totaling \$4.2 million to help offset the public safety project costs.

Approximately \$3.5 million of certificate proceeds will help finance the development of the \$6.8 million DeBenedetti Park. This community park will be located on a 49-acre site that includes lighted multiuse sports fields as well as serve as a drainage basin for the city.

■ Debt

The city's debt burden is manageable, the result of extensive pay-as-you-go financing and limited capital needs. While the current issue substantially increases direct debt outstanding and annual debt service

Public Finance

Debt Statistics

(\$000)

This Issue	30,470
Outstanding Debt	1,102
Total Direct Debt	31,572
Overlapping Debt	23,251
Total Overall Debt	54,823

Debt Ratios

Direct Debt Per Capita (\$)*	536
Overall Debt Per Capita (\$)*	930
As % of Market Value**	1.66

*Population: 58,953 (2001 estimate).

**Market value: \$3,298,993,582 (2002).

expenditures, the city has identified resources sufficient to support either additional debt or more rapid amortization of the series 2002 certificates. As part of the city's overall \$37.6 million capital plan, additional debt may be issued during the next several years to help finance several new projects, including an indoor sports facility, aquatics center, and an animal shelter. These projects are projected to cost in excess of \$11 million, although user contributions and grants may help offset costs. No other major capital projects are currently planned.

Direct and overall debt as a percentage of market value are low at 0.96% and 1.66%, respectively. Debt levels on a per capita basis also are somewhat below average; direct debt is \$536 per capita and overall debt is \$930 per capita.

■ Finances

The city's financial operations are good including adequate reserve levels and good revenue diversity.

In fiscal 2001, the general fund ended with a sound total fund balance of \$3.9 million, a healthy 11.6% of expenditures and transfers out, including an unreserved fund balance of \$3.4 million, or 10.1% of spending. This represents a small increase in dollars though a decrease from fiscal 2000 at 13.3% and 11.6%, respectively. The city's fund balance policy goal is a prudent 15% of spending.

General fund spending (net of transfers and other sources) totaled \$28.2 million in fiscal 2001, a 10% rise from 2000. Over two-thirds of general fund revenues are generated from diverse tax sources, including property, sales and use, and in-lieu franchise taxes from the city's electric utility. The largest share of tax revenue is sales and use taxes, which totaled more than \$8.0 million, or 28% of general fund revenue in fiscal 2001. Property tax provided \$3.7 million (13%) to the general fund; however, this is net of a city mandated set-aside of 30% of annual property taxes (approximately \$1.6 million in fiscal 2001) collected in the capital project fund. In-lieu franchise taxes collected from the city's electric utility generated \$4.6 million, or 16% of general fund revenue. Intergovernmental revenue accounted for roughly 14%. The general fund also receives transfers in from various funds and its enterprise systems to offset related costs of services.

General fund expenditures (net of transfers and other uses) totaled \$31.6 million in fiscal 2001, a 16% rise over fiscal 2000. Public safety is the largest expenditure, equal to over 40% of annual spending.

Financial Summary — General Fund Only

(\$000, Fiscal Years Ended June 30)

	1999	2000	2001
Property Taxes	3,161	3,452	3,726
Sales and Use Taxes	6,624	7,095	8,028
In-Lieu Franchise Taxes (Electric Utility)	4,275	4,367	4,637
Other Taxes	2,574	2,659	2,988
Intergovernmental	2,714	3,749	4,025
Other	4,168	4,265	4,822
Total Revenues	23,516	25,588	28,227
General Government	7,239	7,775	8,862
Public Safety	11,097	11,354	12,804
Public Works	4,544	4,494	5,299
Parks and Recreation	2,230	2,670	2,802
Other	1,030	1,040	1,853
Total Expenditures	26,140	27,332	31,620
Transfers and Other Sources	3,063	2,821	2,528
Results	449	1,077	157
Total Fund Balance	2,711	3,788	3,946
As % of Expenditures/Transfers Out	9.9	13.3	11.6
Unreserved Fund Balance as % of Expenditures/Transfers Out	9.4	11.6	10.1

City of Lodi, California

General government and public works accounted for 28% and 17% of expenditures, respectively. Transfers out of the general fund included a large \$1.5 million transfer to the capital projects fund to offset the costs of the 2002 projects.

The city incorporates sound management policies and practices, including a multiyear budget, long-term (seven-year) capital plan, 10-year financial plan, and the city's practice of setting aside 30% of property tax revenues for capital projects. In addition, written policies regarding debt, contingency planing, and interim financial reporting support ongoing fiscal health.

■ Economy

Lodi is located in California's Central Valley approximately 34 miles south of Sacramento and 90 miles east of San Francisco. It is the northern-most city in San Joaquin County. The city encompasses roughly 12.6 square miles with an estimated 58,593 residents. Population growth has been moderate (13% since 1990), though somewhat slower than the surrounding area. Growth is closely managed under the city's growth control ordinance, which limits population expansion to 2% per year. Long-term projections indicate build-out by 2015 with a total population of 70,000.

The regional economy is based primarily in agricultural products, including winegrapes, nuts, fruit, and milk. The Lodi area winegrape industry constitutes 18% of California's total winegrape production. Agriculture accounts for roughly 8.5% of employment in the county. The area's economy

comprises many agriculture-related businesses, including several major wineries and food processors.

The city economy is more diversified than the county's, with a small but growing service and manufacturing economy; however, overall, it remains heavily dependent on agriculture. Unemployment rates are well below the county averages at 6.5% for Lodi in 2000 versus 8.8% for San Joaquin County, though consistently remain above state and national averages. Major employers include the Lodi School District, with 2,247 employees, Lodi Memorial Hospital (650), General Mills (575), Pacific Coast Producers (530), the City of Lodi (387), and Wal-Mart (226).

Assessed valuations have increased steadily, averaging 3.8% annual growth since 1996. Recent years' performance has been particularly good, rising 5.7% in 2000 and 6.9% in 2001 to roughly \$2.8 billion. The top 10 taxpayers represent a moderate 11.1% of total assessed valuations; the largest taxpayer is General Mills (food processing) with 6.1% of the total 2001 assessed value. Other major taxpayers include Pacific Coast Producers (1.6%), Dayton Hudson Corporation (0.5%), California Waste Removal System (0.5%), and GFLIP (0.5%).

Wealth levels for the city are near county averages but below state and national levels. The median household buying income in Lodi is 97%, 85%, and 90% of county, state, and national averages. Per capital retail sales are 123% of county averages, reflecting the city's role as a regional sales center.

Copyright © 2001 by Fitch, Inc. and Fitch Ratings, Ltd. and its subsidiaries. One State Street Plaza, NY, NY 10094. Telephone: 1-800-753-4824; (212) 908-0500. Fax: (212) 480-4435. Reproduction or retransmission in whole or in part is prohibited except by permission. All rights reserved. All of the information contained herein is based on information obtained from issuers, other obligors, underwriters, and other sources Fitch believes to be reliable. Fitch does not audit or verify the truth or accuracy of any such information. As a result, the information in this report is provided "as is" without any representation or warranty of any kind. A Fitch rating is an opinion as to the creditworthiness of a security. The rating does not address the risk of loss due to risks other than credit risk, unless such risk is specifically mentioned. Fitch is not engaged in the offer or sale of any security. A report providing a Fitch rating is neither a prospectus nor a substitute for the information assembled, verified, and presented to investors by the issuer and its agents in connection with the sale of the securities. Ratings may be changed, suspended, or withdrawn at any time for any reason at the sole discretion of Fitch. Fitch does not provide investment advice of any sort. Ratings are not a recommendation to buy, sell, or hold any security. Ratings do not comment on the adequacy of market price, the suitability of any security for a particular investor, or the tax-exempt nature or taxability of payments made in respect to any security. Fitch receives fees from issuers, insurers, guarantors, other obligors, and underwriters for rating securities. Such fees generally vary from US\$1,000 to US\$750,000 (or the applicable currency equivalent) per issue. In certain cases, Fitch will rate all or a number of issues issued by a particular issuer, or insured or guaranteed by a particular insurer or guarantor, for a single annual fee. Such fees are expected to vary from US\$10,000 to US\$1,500,000 (or the applicable currency equivalent). The assignment, publication, or dissemination of a rating by Fitch shall not constitute a consent by Fitch to use its name as an expert in connection with any registration statement filed under the United States securities laws, the Financial Services Act of 1986 of Great Britain, or the securities laws of any particular jurisdiction. Due to the relative efficiency of electronic publishing and distribution, Fitch research may be available to electronic subscribers up to three days earlier than to print subscribers.